# Isomorphisms and Serre's reduction of linear systems

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Abstract—In this paper, we give an explicit characterization of isomorphic finitely presented modules in terms of certain inflations of their presentation matrices. In particular cases, this result yields a characterization of isomorphic modules as the completion problem characterizing Serre's reduction, i.e., of the possibility to find a presentation of the module defined by fewer generators and fewer relations. This completion problem is shown to induce different isomorphisms between the modules finitely presented by the matrices defining the inflations. Finally, we show how Serre's reduction implies the existence of a certain idempotent endomorphism of the finitely presented module, i.e., that Serre's reduction implies a particular decomposition, proving the converse of a result obtained in [7].

# I. INTRODUCTION

A multidimensional linear system (e.g., a linear system of ordinary differential (OD) equations, partial differential (PD) equations, OD time-delay equations, difference equations) can be written as  $R \eta = 0$ , where R is a  $q \times p$  matrix with entries in a (noncommutative) polynomial ring D of functional operators (e.g., OD or PD operators, OD time-delay operators, shift operators, difference operators) and  $\eta$  is a vector of unknown functions. More precisely, if  $\mathcal{F}$  is a left D-module, then we can consider the *linear system* or *behavior*:

$$\ker_{\mathcal{F}}(R.) := \{ \eta \in \mathcal{F}^p \mid R \eta = 0 \}.$$

The algebraic analysis approach to mathematical system theory (see, e.g., [2], [9] and the references therein) is based on the fact that the linear system ker $_{\mathcal{F}}(R.)$  can be studied by means of the left *D*-module  $M := D^{1 \times p}/(D^{1 \times q} R)$  finitely presented by the matrix *R* since ker $_{\mathcal{F}}(R.) \cong \hom_D(M, \mathcal{F})$ (see, e.g., [2], [9]). Module properties of *M* and  $\mathcal{F}$  are then related to system properties of ker $_{\mathcal{F}}(R.)$ . Using constructive homological algebra [10] for (noncommutative) polynomial rings *D* admitting *Gröbner bases* for admissible term orders [2], one can effectively check some module properties of *M* (see [2] and references therein). The corresponding algorithms are implemented in packages of computer algebra systems (e.g., OREMODULES [3], OREMORPHISMS [4]).

An important issue in mathematical system (resp., module) theory is the *equivalence problem* which consists in testing whether two systems (resp., modules) are isomorphic. The first contribution of the paper, developed in Section II, is

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to give an explicit characterization of isomorphic finitely presented modules in terms of inflations of their presentation matrices. The classical Schanuel's lemma (see, e.g., [10]) on the syzygy modules of these modules can then be found again. If the ring D is stably finite (e.g., noetherian) (see, e.g., [8]) and one of the presentation matrices has full row rank, then this result yields a characterization of isomorphic modules as the unimodular completion problem characterizing Serre's reduction problem [1]. This problem aims at finding an equivalent system which contains fewer equations and fewer unknowns [1]. Section III contains the second result of the paper. We show how the completion problem induces different isomorphisms between the modules finitely presented by the matrices defining the inflations. Consequences of this result are given for doubly coprime factorizations. The result is used in Section IV to complete results of [1] on the study of Serre's reduction problem. Serre's reduction is known to be related to the so-called *decomposition problem* [5], [7]. The last contribution of the paper is to prove the converse of a result of [7]. We show how Serre's reduction implies the existence of a particular idempotent endomorphism of M, i.e., that Serre's reduction implies a particular decomposition.

Notation. D will denote a noetherian ring, i.e., a left and a right noetherian ring, i.e., every left/right ideal of D is finitely generated as a left/right D-module [8], [10]. If M and N are two left/right D-modules, then  $\hom_D(M, N)$  is the abelian group formed by the left/right D-homomorphisms (i.e., left/right D-linear maps) from M to N. The left Dmodules M and N are isomorphic, denoted by  $M \cong N$ , if there exists  $\phi \in \hom_D(M, N)$  which is an isomorphism, i.e., injective and surjective [8], [10]. If  $R \in D^{q \times p}$  is a  $q \times p$ matrix with entries in D, then  $.R \in \hom_D(D^{1 \times q}, D^{1 \times p})$ is defined by  $(.R)(\lambda) = \lambda R$  for all  $\lambda \in D^{1 \times q}$ . Similarly,  $R. \in \hom_D(D^{p \times 1}, D^{q \times 1})$  is defined by  $(R.)(\eta) = R \eta$  for all  $\eta \in D^{p \times 1}$ . We use the notation  $\mathcal{F}^p$  for  $\mathcal{F}^{p \times 1}$ . Finally, the group of the units of the ring  $D^{r \times r}$  is denoted by:

$$\operatorname{GL}_r(D) = \{ U \in D^{r \times r} \mid \exists V \in D^{r \times r} : UV = VU = I_r \}.$$

## II. A CHARACTERIZATION OF ISOMORPHIC MODULES

Let us first characterize isomorphic finitely presented modules in terms of inflations of their presentation matrices. Theorem 1: Let  $R_1 \in D^{q \times p}$  and  $Q_2 \in D^{s \times t}$  be two matrices. Then, the following assertions are equivalent:

- 1) The left *D*-modules  $M_1 = D^{1 \times p} / (D^{1 \times q} R_1)$  and  $M_2 = D^{1 \times t} / (D^{1 \times s} Q_2)$  are isomorphic.
- 2) There exist matrices

$$\begin{split} R_2 &\in D^{q \times s}, \ Q_1 \in D^{p \times t}, \ S_1 \in D^{p \times q}, \ S_2 \in D^{s \times q}, \\ T_1 &\in D^{t \times p}, \ T_2 \in D^{t \times s}, \ V_1 \in D^{q \times l}, \ V_2 \in D^{t \times l}, \\ W_1 &\in D^{p \times m}, \ W_2 \in D^{s \times m}, \ P_1 \in D^{l \times q}, \ P_2 \in D^{m \times s} \end{split}$$

satisfying the following two identities

$$\begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix} \begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix} = I_{q+t} + \begin{pmatrix} V_1 \\ V_2 \end{pmatrix} (P_1 \quad 0),$$
(1)

$$\begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix} \begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix} = I_{p+s} + \begin{pmatrix} W_1 \\ W_2 \end{pmatrix} \begin{pmatrix} 0 & P_2 \end{pmatrix},$$
(2)

where  $P_1 \in D^{l \times q}$  and  $P_2 \in D^{m \times s}$  are such that:

$$\ker_D(.R_1) := \{ \mu \in D^{1 \times q} \mid \mu R_1 = 0 \}$$
  
=  $\operatorname{im}_D(.P_1) := D^{1 \times l} P_1 = \{ \xi P_1 \mid \xi \in D^{1 \times l} \},$   
 $\ker_D(.Q_2) = \{ \nu \in D^{1 \times s} \mid \nu Q_2 = 0 \}$   
=  $\operatorname{im}_D(.P_2) = D^{1 \times m} P_2 = \{ \zeta P_2 \mid \zeta \in D^{1 \times m} \}.$ 

*Proof:* Let  $\pi_i : D^{1 \times r_i} \longrightarrow M_i$  be the canonical projection onto  $M_i$ , where  $i = 1, 2, r_1 = p$  and  $r_2 = t$ . A left *D*-homomorphism  $f : M_1 \longrightarrow M_2$  is defined by

$$\forall \lambda_1 \in D^{1 \times p}, \quad f(\pi_1(\lambda_1)) = \pi_2(\lambda_1 Q_1),$$

for a certain matrix  $Q_1 \in D^{p \times t}$  which is such that:

$$\exists R_2 \in D^{q \times s}: \quad R_1 Q_1 = -R_2 Q_2. \tag{3}$$

For more details, see, e.g., [5]. Similarly, a left *D*-homomorphism  $g: M_2 \longrightarrow M_1$  is defined by

$$\forall \lambda_2 \in D^{1 \times t}, \quad g(\pi_2(\lambda_2)) = \pi_1(\lambda_2 T_1),$$

for a certain  $T_1 \in D^{t \times p}$  which is such that:

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$$S_2 \in D^{s \times q}: \quad Q_2 T_1 = -S_2 R_1.$$
 (4)

Hence,  $M_1 \cong M_2$  iff  $g \circ f = id_{M_1}$  and  $f \circ g = id_{M_2}$ , i.e.:

$$\pi_1(\lambda_1) = (g \circ f)(\pi_1(\lambda_1)) = g(\pi_2(\lambda_1 Q_1)) = \pi_1(\lambda_1 Q_1 T_1),$$
  
$$\pi_2(\lambda_2) = (f \circ g)(\pi_2(\lambda_2)) = f(\pi_1(\lambda_2 T_1)) = \pi_2(\lambda_2 T_1 Q_1),$$

i.e., for all  $\lambda_1 \in D^{1 \times p}$  and for all  $\lambda_2 \in D^{1 \times t}$ ,

$$\pi_1(\lambda_1 (I_p - Q_1 T_1)) = 0, \quad \pi_2(\lambda_2 (I_t - T_1 Q_1)) = 0,$$

i.e., iff there exist  $S_1 \in D^{p \times q}$  and  $T_2 \in D^{t \times s}$  such that:

$$I_p - Q_1 T_1 = S_1 R_1, \quad I_t - T_1 Q_1 = T_2 Q_2.$$
 (5)

Hence,  $M_1 \cong M_2$  is equivalent to the existence of matrices satisfying (3), (4), (5), i.e., satisfying the identities:

$$\begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix} \begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix} = \begin{pmatrix} R_1 S_1 + R_2 S_2 & 0 \\ T_1 S_1 + T_2 S_2 & I_t \end{pmatrix},$$
(6)

$$\begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix} \begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix} = \begin{pmatrix} I_p & S_1 R_2 + Q_1 T_2 \\ 0 & S_2 R_2 + Q_2 T_2 \end{pmatrix}.$$
(7)

Let us prove that (1) is equivalent to (6). Let us first compute  $R_1 S_1 + R_2 S_2$ . Using (4), (3), and (5), we obtain:

$$(R_1 S_1 + R_2 S_2) R_1 = R_1 S_1 R_1 - R_2 Q_2 T_1 = R_1 S_1 R_1 + R_1 Q_1 T_1 = R_1 S_1 R_1 + R_1 (I_p - S_1 R_1) = R_1.$$

Thus, we get  $((R_1 S_1 + R_2 S_2) - I_q) R_1 = 0$ , and thus  $D^{1 \times q} ((R_1 S_1 + R_2 S_2) - I_q) \subseteq \ker_D(.R_1) = D^{1 \times l} P_1$ , which shows that  $V_1 \in D^{q \times l}$  exists such that:

$$R_1 S_1 + R_2 S_2 = I_q + V_1 P_1.$$
(8)

Let us compute  $T_1 S_1 + T_2 S_2$ . Using (5) and (4), we get

$$T_1 (S_1 R_1) = T_1 (I_p - Q_1 T_1) = (I_t - T_1 Q_1) T_1$$
  
=  $T_2 (Q_2 T_1) = -T_2 S_2 R_1,$ 

i.e.,  $(T_1 S_1 + T_2 S_2) R_1 = 0$ , i.e.,  $D^{1 \times t} (T_1 S_1 + T_2 S_2) \subseteq \ker_D(.R_1) = D^{1 \times l} P_1$ , and thus there exists  $V_2 \in D^{t \times l}$  such that:

$$T_1 S_1 + T_2 S_2 = V_2 P_1. (9)$$

Using (8) and (9), (6) and (7) is equivalent to (1) and (7).

Similarly, using (5) and (3), we obtain

$$Q_1 (T_2 Q_2) = Q_1 (I_t - T_1 Q_1) = (I_p - Q_1 T_1) Q_1$$
  
=  $S_1 (R_1 Q_1) = -S_1 R_2 Q_2,$ 

i.e.,  $(S_1 R_2 + Q_1 T_2) Q_2 = 0$ , i.e.,  $D^{1 \times p} (S_1 R_2 + Q_1 T_2) \subseteq \ker_D(.Q_2) = D^{1 \times m} P_2$ , and thus there exists  $W_1 \in D^{p \times m}$  such that:

$$S_1 R_2 + Q_1 T_2 = W_1 P_2. (10)$$

Using (3), (4), and (5), we obtain:

$$(S_2 R_2 + Q_2 T_2) Q_2 = -S_2 R_1 Q_1 + Q_2 T_2 Q_2 = Q_2 T_1 Q_1 + Q_2 T_2 Q_2 = Q_2 (I_t - T_2 Q_2) + Q_2 T_2 Q_2 = Q_2.$$

Thus, we get  $(S_2 R_2 + Q_2 T_2 - I_s) Q_2 = 0$ , and thus  $D^{1 \times s} (S_2 R_2 + Q_2 T_2 - I_s) \subseteq \ker_D(Q_2) = D^{1 \times m} P_2$ , which shows that there exists  $W_2 \in D^{s \times m}$  such that:

$$S_2 R_2 + Q_2 T_2 = I_s + W_2 P_2. \tag{11}$$

Hence, (1) and (7) is finally equivalent to (1) and (2).

The next corollary of Theorem 1 gives a constructive proof of the standard *Schanuel's lemma* [10] in module theory.

*Corollary 1:* With the notations and the assumptions of Theorem 1, if we introduce the unimodular matrices

$$P = \begin{pmatrix} I_p & -Q_1 \\ T_1 & I_t - T_1 Q_1 \end{pmatrix}, P^{-1} = \begin{pmatrix} I_p - Q_1 T_1 & Q_1 \\ -T_1 & I_t \end{pmatrix},$$

then the following left *D*-homomorphism

$$\begin{array}{cccc} u: D^{1 \times q} R_1 \oplus D^{1 \times t} & \longrightarrow & D^{1 \times p} \oplus D^{1 \times s} Q_2 \\ (\nu_1 R_1, \nu_2) & \longmapsto & (\nu_1 R_1, \nu_2) P, \end{array}$$
(12)

is an isomorphism and:

$$\begin{array}{ccc} u^{-1}: D^{1\times p} \oplus D^{1\times s} Q_2 & \longrightarrow & D^{1\times q} R_1 \oplus D^{1\times t} \\ (\mu_1, \, \mu_2 \, Q_2) & \longmapsto & (\mu_1, \, \mu_2 \, Q_2) P^{-1}. \end{array}$$
(13)

*Proof:* The proof of Theorem 1 shows that we have the following *commutative exact diagram* [10]:

Now,  $P_1 R_1 = 0$  and (3) implies that  $P_1 (R_2 Q_2) = -P_1 (R_1 Q_1) = 0$ , i.e.,  $D^{1 \times l} (P_1 R_2) \subseteq \ker_D (Q_2) = D^{1 \times m} P_2$ , and thus there exists a matrix  $X \in D^{l \times m}$  such that  $P_1 R_2 = -X P_2$ . Similarly, there exists  $Y \in D^{m \times l}$  such that  $P_2 S_2 = -Y P_1$ . With the following notations

$$U := \begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix}, \quad U' := \begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix}, \quad (14)$$

 $\begin{pmatrix} 0 & P_2 \end{pmatrix} U = -Y \begin{pmatrix} P_1 & 0 \end{pmatrix}, \begin{pmatrix} P_1 & 0 \end{pmatrix} U' = -X \begin{pmatrix} 0 & P_2 \end{pmatrix},$ which yields the following commutative exact diagrams

where the left D-modules  $L_1$  and  $L_2$  are defined by

$$\begin{split} L_1 &= \operatorname{coker}_D(.(P_1 \quad 0)) := D^{1 \times (q+t)} / (D^{1 \times l} (P_1 \quad 0)), \\ L_2 &= \operatorname{coker}_D(.(0 \quad P_2)) := D^{1 \times (p+s)} / (D^{1 \times m} (0 \quad P_2)), \end{split}$$

and the left D-homomorphisms g and h are defined by:

$$g: L_{2} \longrightarrow L_{1} \\ \kappa_{2}((\mu_{1} \ \mu_{2})) \longmapsto \kappa_{1}((\mu_{1} S_{1} + \mu_{2} S_{2} \ \mu_{1} Q_{1} + \mu_{2} Q_{2})), \\ h: L_{1} \longrightarrow L_{2} \\ \kappa_{1}((\nu_{1} \ \nu_{2})) \longmapsto \kappa_{2}((\nu_{1} R_{1} + \nu_{2} T_{1} \ \nu_{1} R_{2} + \nu_{2} T_{2})).$$

Then, (1) and (2) show that  $g \circ h = id_{L_1}$  and  $h \circ g = id_{L_2}$ , i.e., g is a left D-isomorphism,  $h = g^{-1}$  and  $L_1 \cong L_2$ .

We have  $\operatorname{coker}_D(.P_1) := D^{1 \times q} / (D^{1 \times l} P_1) \cong D^{1 \times q} R_1$  and  $\operatorname{coker}_D(.P_2) := D^{1 \times s} / (D^{1 \times m} P_2) \cong D^{1 \times s} Q_2$  and:

$$\begin{cases} L_1 \cong D^{1 \times q} / (D^{1 \times l} P_1) \oplus D^{1 \times t}, \\ L_2 \cong D^{1 \times p} \oplus D^{1 \times s} / (D^{1 \times m} P_2). \end{cases}$$

Hence, we have the following left *D*-isomorphism:

$$L_1 \xrightarrow{\alpha} D^{1 \times q} R_1 \oplus D^{1 \times t}$$
  

$$\kappa_1((\nu_1 \quad \nu_2)) \longmapsto (\nu_1 R_1, \nu_2).$$

Similarly, we have the following left *D*-isomorphism:

$$\begin{array}{ccc} L_2 & \stackrel{\beta}{\longrightarrow} & D^{1 \times p} \oplus D^{1 \times s} Q_2 \\ \kappa_2((\mu_1 & \mu_2)) & \longmapsto & (\mu_1, \, \mu_2 \, Q_2). \end{array}$$

The left *D*-isomorphism  $u = \beta \circ h \circ \alpha^{-1}$  and its inverse  $u^{-1} = \alpha \circ g \circ \beta^{-1}$  are then defined by:

Using (3) and (5), (5) and (4), we obtain

$$\begin{aligned} \left(\nu_1 \, R_2 + \nu_2 \, T_2\right) Q_2 &= -(\nu_1 \, R_1) \, Q_1 + \nu_2 \, (I_t - T_1 \, Q_1), \\ \left(\mu_1 \, S_1 + \mu_2 \, S_2\right) R_1 &= \mu_1 \, (I_p - Q_1 \, T_1) - (\mu_2 \, Q_2) \, T_1, \end{aligned}$$

which finally yields (12) and (13).

Let us give another corollary of Theorem 1 which connects isomorphisms to the so-called *Serre's reduction* [1].

Corollary 2: With the notations and the assumptions of Theorem 1, let us assume that q + t = p + s.

1) Then, we have:

$$\begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix} \begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix} = I_{q+t}$$

$$\Leftrightarrow \quad \begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix} \begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix} = I_{p+s}.$$
(15)

2) If either  $R_1$  or  $Q_2$  has full row rank, namely,  $\ker_D(.R_1) = 0$  or  $\ker_D(.Q_2) = 0$ , then  $M_1 \cong M_2$ is equivalent to the existence of matrices  $R_2 \in D^{q \times s}$ ,  $Q_1 \in D^{p \times t}$ ,  $Q_2 \in D^{s \times t}$ ,  $S_1 \in D^{p \times q}$ ,  $S_2 \in D^{s \times q}$ ,  $T_1 \in D^{t \times p}$ , and  $T_2 \in D^{t \times s}$  such that:

$$\begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix} \begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix} = I_{q+t}.$$

**Proof:** 1 is a consequence of q + t = p + s and of the fact that D noetherian ring, and thus a stably finite ring, i.e., a ring for which  $UV = I_r$  for two matrices  $U, V \in D^{r \times r}$  yields  $VU = I_r$  [8]. 2 is a direct consequence of Theorem 1 with  $P_1 = 0$  or  $P_2 = 0$  and of the previous point 1.

#### III. UNIMODULAR COMPLETION PROBLEM

The next theorem shows that the *unimodular completion problem* induces different isomorphisms between the modules finitely presented by the matrices defining the inflations.

Theorem 2: Let  $p, q, s, t \in \mathbb{N}$  satisfy q + t = p + s and  $R_1 \in D^{q \times p}$ ,  $R_2 \in D^{q \times s}$ ,  $Q_1 \in D^{p \times t}$ ,  $Q_2 \in D^{s \times t}$ ,  $S_1 \in D^{p \times q}$ ,  $S_2 \in D^{s \times q}$ ,  $T_1 \in D^{t \times p}$ , and  $T_2 \in D^{t \times s}$  such that:

$$\begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix} \begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix} = I_{q+t}.$$
 (16)

Then, we have:

 $coker_D(.R_1) \cong coker_D(.Q_2), \quad ker_D(.R_1) \cong ker_D(.Q_2),$  $coker_D(.S_1) \cong coker_D(.T_2), \quad ker_D(.S_1) \cong ker_D(.T_2),$  $coker_D(.Q_1) \cong coker_D(.R_2), \quad ker_D(.Q_1) \cong ker_D(.R_2),$  $coker_D(.T_1) \cong coker_D(.S_2), \quad ker_D(.T_1) \cong ker_D(.S_2).$  Right *D*-module analogous to the above results hold, i.e.:  $\operatorname{coker}_D(R_1.) \cong \operatorname{coker}_D(Q_2.), \operatorname{ker}_D(R_1.) \cong \operatorname{ker}_D(Q_2.), \ldots$ 

*Proof:* Let us consider the following left *D*-modules:

$$M_1 := \operatorname{coker}_D(.R_1) = D^{1 \times p} / (D^{1 \times q} R_1),$$
  
$$M_2 := \operatorname{coker}_D(.Q_2) = D^{1 \times t} / (D^{1 \times s} Q_2).$$

By 1 of Corollary 2 (16) yields:

$$\begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix} \begin{pmatrix} R_1 & R_2 \\ T_1 & T_2 \end{pmatrix} = I_{p+s}.$$
 (17)

From (3), we have  $R_1 Q_1 = -R_2 Q_2$ , which yields the following commutative exact diagram

where  $\alpha_1$  is the left *D*-homomorphism defined by:

$$\begin{array}{rccc} \alpha_1: M_1 & \longrightarrow & M_2 \\ \pi_1(\lambda_1) & \longmapsto & \pi_2(\lambda_1 Q_1). \end{array}$$

Moreover, (18) yields the following left *D*-homomorphism:

$$\begin{array}{rcl} \alpha_1': \ker_D(.R_1) & \longrightarrow & \ker_D(.Q_2) \\ \mu_1 & \longmapsto & -\mu_1 \, R_2. \end{array}$$

Similarly, the identity  $Q_2 T_1 = -S_2 R_1$  yields the following commutative exact diagram

$$D^{1\times s} \xrightarrow{.Q_2} D^{1\times t} \xrightarrow{\pi_2} M_2 \longrightarrow 0$$

$$\downarrow .-S_2 \qquad \downarrow .T_1 \qquad \downarrow \alpha_2 \qquad (19)$$

$$D^{1\times q} \xrightarrow{.R_1} D^{1\times p} \xrightarrow{\pi_1} M_1 \longrightarrow 0,$$

where  $\alpha_2$  is the left *D*-homomorphism defined by:

$$\begin{array}{rccc} \alpha_2 : M_2 & \longrightarrow & M_1 \\ \pi_2(\nu_2) & \longmapsto & \pi_1(\nu_2 \, T_1). \end{array}$$

Moreover, (19) yields the following left D-homomorphism:

$$\begin{array}{rcl} \alpha_2': \ker_D(.Q_2) & \longrightarrow & \ker_D(.R_1) \\ \theta_2 & \longmapsto & -\theta_2 \, S_2. \end{array}$$

Now, (16) and (17) yield (5) so that

$$\begin{aligned} (\alpha_1 \circ \alpha_2)(\pi_2(\nu_2)) &= \alpha_1(\pi_1(\nu_2 T_1)) = \pi_2(\nu_2 T_1 Q_1) \\ &= \pi_2(\nu_2) - \pi_2((\nu_2 T_2) Q_2) = \pi_2(\nu_2), \\ (\alpha_2 \circ \alpha_1)(\pi_1(\lambda_1)) &= \alpha_2(\pi_2(\lambda_1 Q_1)) = \pi_1(\lambda_1 Q_1 T_1) \\ &= \pi_1(\lambda_1) - \pi_1((\lambda_1 S_1) R_1)) = \pi_1(\lambda_1), \end{aligned}$$

i.e.,  $\alpha_1$  is a left *D*-isomorphism,  $\alpha_2 = \alpha_1^{-1}$  and  $M_1 \cong M_2$ . Now, from (16) and (17), we have  $R_2 S_2 = I_q - R_1 S_1$  and  $S_2 R_2 = I_s - Q_2 T_2$ , which implies that

$$(\alpha'_1 \circ \alpha'_2)(\theta_2) = -\alpha'_1(\theta_2 S_2) = \theta_2 (S_2 R_2) = \theta_2 - (\theta_2 Q_2) T_2 = \theta_2, (\alpha'_2 \circ \alpha'_1)(\mu_1) = -\alpha'_2(\mu_1 R_2) = \mu_1 (R_2 S_2) = \mu_1 - (\mu_1 R_1) S_1 = \mu_1,$$

i.e.,  $\alpha'_1$  is a left *D*-isomorphism,  $\alpha'_2 = \alpha'^{-1}_1$  and:

$$\ker_D(.Q_2) \cong \ker_D(.R_1).$$

Since the role played by  $R_1$  (resp.,  $Q_2$ ) in (16) and (17) is symmetric to the one played by  $S_1$  (resp.,  $T_2$ ), we obtain:

$$\operatorname{coker}_D(.S_1) \cong \operatorname{coker}_D(.T_2), \quad \ker_D(.S_1) \cong \ker_D(.T_2).$$

Finally, the other isomorphisms of the theorem can be similarly proved. For instance, the left *D*-isomorphism  $\ker_D(.Q_1) \cong \ker_D(.R_2)$  is defined by:

$$\gamma_1' : \ker_D(.Q_1) \longrightarrow \ker_D(.R_2) \\ \theta_1 \longmapsto -\theta_1 S_1,$$
(20)

$$\gamma_2' = \gamma_1'^{-1} : \ker_D(.R_2) \longrightarrow \ker_D(.Q_1) \qquad (21)$$
$$\mu_2 \longmapsto -\mu_2 R_1.$$

Theorem 2 generalizes Theorem 4.1 of [1] for a non full row rank R, i.e., ker<sub>D</sub>(.R) is not necessarily reduced to 0.

Let us give an application of Theorem 2 to doubly coprime factorizations. To keep the notations classically used in control theory, the noetherian domain D is denoted by A.

Corollary 3: Let K := Q(A) be the left and right quotient field of A [8],  $P \in K^{q \times r}$ , and  $P = D^{-1}N = \widetilde{N}\widetilde{D}^{-1}$  a doubly coprime factorization of P, namely,  $D \in A^{q \times q}$ ,  $N \in A^{q \times r}$ ,  $\widetilde{D} \in A^{r \times r}$  and  $\widetilde{N} \in A^{q \times r}$  satisfy

$$\begin{pmatrix} D & -N \\ -\widetilde{Y} & \widetilde{X} \end{pmatrix} \begin{pmatrix} X & \widetilde{N} \\ Y & \widetilde{D} \end{pmatrix} = I_{q+r},$$

for certain matrices  $X \in A^{q \times q}$ ,  $Y \in A^{r \times q}$ ,  $\widetilde{X} \in A^{r \times r}$ , and  $\widetilde{Y} \in A^{r \times q}$ . Then, we have:

$$\begin{aligned} \operatorname{coker}_{A}(.D) &\cong \operatorname{coker}_{A}(.\widetilde{D}), & \operatorname{ker}_{A}(.D) &\cong \operatorname{ker}_{A}(.\widetilde{D}), \\ \operatorname{coker}_{A}(.X) &\cong \operatorname{coker}_{A}(.\widetilde{X}), & \operatorname{ker}_{A}(.X) &\cong \operatorname{ker}_{A}(.\widetilde{X}), \\ \operatorname{coker}_{A}(.N) &\cong \operatorname{coker}_{A}(.\widetilde{N}), & \operatorname{ker}_{A}(.N) &\cong \operatorname{ker}_{A}(.\widetilde{N}), \\ \operatorname{coker}_{A}(.Y) &\cong \operatorname{coker}_{A}(.\widetilde{Y}), & \operatorname{ker}_{A}(.Y) &\cong \operatorname{ker}_{A}(.\widetilde{Y}), \end{aligned}$$

Similarly, right A-module analogous to the above results hold, i.e.,  $\operatorname{coker}_A(D.) \cong \operatorname{coker}_A(\widetilde{D}.), \ldots$ 

*Corollary 4:* With the notations and the hypotheses of Theorem 2, we have:

- 1)  $R_1$  has full row rank iff so is  $Q_2$ .
- 2) R<sub>2</sub> admits a left inverse iff so is Q<sub>1</sub>. More precisely:
  a) If Z<sub>2</sub> ∈ D<sup>s×q</sup> is a left inverse of R<sub>2</sub>, then Q<sub>1</sub> admits the left inverse T<sub>1</sub> − T<sub>2</sub> Z<sub>2</sub> R<sub>1</sub>.
  - b) If  $Y_1 \in D^{t \times p}$  is a left inverse of  $Q_1$ , then  $R_2$  admits the left inverse  $S_2 Q_2 Y_1 S_1$ .
- 3) If  $R_2$  or  $Q_1$  admits a left inverse, then  $\ker_D(.R_2) \cong \ker_D(.Q_1)$  is stably free of rank q s = p t, i.e.:

$$\ker_D(R_2) \oplus D^{1 \times s} \cong D^{1 \times q}.$$

- ker<sub>D</sub>(.R<sub>2</sub>) is a free left D-module of rank r iff so is ker<sub>D</sub>(.Q<sub>1</sub>). More precisely, we have:
  - a) If  $B_2 \in D^{r \times q}$  is a *basis* of ker<sub>D</sub>(.R<sub>2</sub>), i.e., the matrix  $B_2$  has full row rank and satisfies

 $\ker_D(.R_2) = D^{1 \times r} B_2$ , then  $C_2 := B_2 R_1$  is a basis of  $\ker_D(.Q_1)$ , i.e.,  $C_2 \in D^{r \times p}$  has full row rank and satisfies  $\ker_D(.Q_1) = D^{1 \times r} C_2$ .

b) If  $C_1 \in D^{r \times p}$  is a basis of  $\ker_D(.Q_1)$ , i.e., the matrix  $C_1$  has full row rank and satisfies  $\ker_D(.Q_1) = D^{1 \times r} C_1$ , then  $B_1 := C_1 S_1$  is a basis of  $\ker_D(.Q_1)$ , i.e.,  $B_1 \in D^{r \times q}$  has full row rank and satisfies  $\ker_D(.Q_1) = D^{1 \times r} B_1$ .

*Proof:* 1. By Theorem 2, ker<sub>D</sub>(.Q<sub>2</sub>) ≅ ker<sub>D</sub>(.R<sub>1</sub>) = 0. 2. coker<sub>D</sub>(.R<sub>2</sub>) = 0 iff  $D^{1\times s} = D^{1\times q} R_2$ , i.e., iff  $R_2$ admits a left inverse  $Z_2 \in D^{s\times q}$ , i.e.,  $Z_2 R_2 = I_s$ . Similarly for coker<sub>D</sub>(.Q<sub>1</sub>). The first result follows from coker<sub>D</sub>(.R<sub>2</sub>) ≅ coker<sub>D</sub>(.Q<sub>1</sub>) by Theorem 2. If  $Z_2 \in D^{s\times q}$  is such that  $Z_2 R_2 = I_s$ , then using (16), we get  $R_1 Q_1 = -R_2 Q_2$ and  $T_1 Q_1 + T_2 Q_2 = I_t$ , and thus  $(T_1 - T_2 Z_2 R_1) Q_1 =$   $T_1 Q_1 + T_2 (Z_2 R_2) Q_2 = T_1 Q_1 + T_2 Q_2 = I_t$ . Now, if  $Y_1 \in D^{t\times p}$  is a left inverse of  $Q_1$ , i.e.,  $Y_1 Q_1 = I_t$ , then using (17), we get  $S_1 R_2 = -Q_1 T_2$  and  $S_2 R_2 + Q_2 T_2 = I_s$ , and thus  $(S_2 - Q_2 Y_1 S_1) R_2 = S_2 R_2 + Q_2 (Y_1 Q_1) T_2 =$  $S_2 R_2 + Q_2 T_2 = I_s$ .

3. If  $Z_2 \in D^{s \times q}$  is a left inverse of  $R_2$ , then the matrix  $\Pi := R_2 Z_2$  is an *idempotent* of the ring  $D^{q \times q}$ , i.e.,  $\Pi^2 = \Pi$ , and thus  $D^{1 \times q} = \ker_D(.\Pi) \oplus \operatorname{im}_D(.\Pi)$ . Now, since  $.Z_2 \in \hom_D(D^{1 \times s}, D^{1 \times q})$  is injective,  $\ker_D(.\Pi) = \ker_D(.R_2)$ . Moreover, since  $.R_2 \in \hom_D(D^{1 \times q}, D^{1 \times s})$  is surjective,  $\operatorname{im}_D(.\Pi) = D^{1 \times q} \Pi = D^{1 \times s} Z_2$ , which shows that  $\ker_D(.R_2) \oplus D^{1 \times s} Z_2 = D^{1 \times q}$ , i.e.,  $\ker_D(.R_2)$  is a stably free left *D*-module of rank q - s = p - t. The result follows from  $\ker_D(.Q_1) \cong \ker_D(.R_2)$  by Theorem 2.

4. The first point follows from  $\ker_D(.Q_1) \cong \ker_D(.R_2)$ by Theorem 2. Now, if  $\ker_D(.R_2)$  is a free left *D*-module of rank *r* and the full row rank  $B_2 \in D^{r \times q}$  defines a basis of  $\ker_D(.R_2)$ , i.e.,  $\ker_D(.R_2) = D^{1 \times r} B_2$ , then the left *D*isomorphism  $\gamma'_2$  defined by (21) sends a basis of  $\ker_D(.R_2)$ to a basis of  $\ker_D(.Q_1)$ , which shows that the full row rank matrix  $C_2 := B_2 R_1 \in D^{r \times p}$  defines a basis of  $\ker_D(.Q_1)$ , i.e.,  $\ker_D(.Q_1) = D^{1 \times r} C_2$ . The last point can be similarly proved using  $\gamma'_1 = \gamma'_2^{-1}$  defined by (20).

#### **IV. SERRE'S REDUCTION**

Let us state a necessary and sufficient condition for a linear system to be equivalent to a linear system defined by fewer unknowns and equations (the so-called *Serre's reduction*).

Theorem 3: Let  $R \in D^{q \times p}$  (not necessarily full row rank). Then the following assertions are equivalent:

1) There exist  $\overline{R} \in D^{(q-r) \times (p-r)}$ , where  $0 \le r \le q-1$ , and  $V \in GL_q(D)$  and  $W \in GL_p(D)$  such that:

$$V R W = \left(\begin{array}{cc} I_r & 0\\ 0 & \overline{R} \end{array}\right)$$

2) There exists  $\Lambda \in D^{q \times (q-r)}$  such that:

a) a matrix  $U \in \operatorname{GL}_{p+q-r}(D)$  exists such that:

$$(R - \Lambda) U = (I_q 0),$$

b) a matrix  $\Gamma \in D^{(q-r) \times q}$  exists such that  $\Gamma \Lambda = I_q$ ,

c) the stably free left *D*-module  $\ker_D(.\Lambda)$  is free of rank *r*, i.e., there exists a full row rank matrix  $B \in$ 

 $D^{r \times q}$  such that  $\ker_D(.\Lambda) = D^{1 \times r} B$ .

If 2 of Theorem 3 holds, then we have

$$U = \begin{pmatrix} S_1 & Q_1 \\ S_2 & Q_2 \end{pmatrix}, \quad U^{-1} = \begin{pmatrix} R & -\Lambda \\ T_1 & -T_2 \end{pmatrix}, \quad (22)$$
$$Q_1 \in D^{p \times (p-r)}, \quad Q_2 \in D^{(q-r) \times (p-r)}, \quad S_1 \in D^{p \times q},$$
$$S_2 \in D^{(q-r) \times q}, \quad T_1 \in D^{(p-r) \times p}, \quad T_2 \in D^{(p-r) \times (q-r)},$$

i.e., we are in the position of Theorem 2 with  $R_2 = -\Lambda$ , s = q - r, t = p - r and  $T_2$  has been changed into  $-T_2$  to follow the notations used in [1].

*Remark 1:* Theorem 3 is proved in Corollaries 4.10 and 4.14 of [1], where 2.b of Theorem 3 is replaced by  $\ker_D(.Q_1)$  is a free left *D*-module of rank *r*. These conditions are equivalent since  $\ker_D(.\Lambda) \cong \ker_D(.Q_1)$  by Theorem 2. The hypothesis that *R* has full row rank is not used in the proofs of Corollaries 4.10 and 4.14 of [1].

*Corollary 5:* 1) If 1 of Theorem 3 holds, then the matrices of 2 of Theorem 3 can be chosen as follows

$$\Lambda = X_2, \quad \Gamma = V_2, \quad B = V_1,$$
$$U = \begin{pmatrix} W_1 V_1 & W_2 \\ -V_2 & \overline{R} \end{pmatrix}, \quad U^{-1} = \begin{pmatrix} R & -\Lambda \\ Z_2 & 0 \end{pmatrix},$$

with the following notations:

$$\begin{cases} V = (V_1^T \quad V_2^T)^T, \ V_1 \in D^{r \times q}, \ V_2 \in D^{(q-r) \times q}, \\ V^{-1} = (X_1 \quad X_2), \ X_1 \in D^{q \times r}, \ X_2 \in D^{q \times (q-r)}, \\ W = (W_1 \quad W_2), \ W_1 \in D^{p \times r}, \ W_2 \in D^{p \times (p-r)}, \\ W^{-1} = (Z_1^T \quad Z_2^T)^T, \ Z_1 \in D^{r \times p}, \ Z_2 \in D^{(p-r) \times p}. \end{cases}$$

2) If 2 of Theorem 3 holds, then, with the notations (22), the matrices defined in 1 of Theorem 3 can be taken as  $\overline{R} = Q_2$  and

$$V_{1} = B, V_{2} = \Gamma - S_{2} F B, W_{1} = (S_{1} + Q_{1} T_{2} \Gamma) F, W_{2} = Q_{1}, X_{1} = R W_{1}, X_{2} = \Lambda, Z_{1} = B R, Z_{2} = T_{1} - T_{2} \Gamma R,$$
(23)

where  $F \in D^{q \times r}$  is such that:

$$I_q - \Lambda \Gamma = F B. \tag{24}$$

**Proof:** 1 is proved in Corollary 4.14 of [1] up to the characterization of B. By (20),  $\ker_D(.\Lambda) = \ker_D(.Q_1) S_1$ , where  $Q_1 = W_2$  and  $S_1 = W_1 V_1$ . Now, the identity  $W^{-1}W = I_p$  (resp.,  $WW^{-1} = I_p$ ) yields  $Z_1W_2 = 0$  (resp.,  $W_1Z_1 + W_2Z_2 = I_p$ ), i.e.,  $D^{1\times r}Z_1 \subseteq \ker_D(.W_2)$ . Now, if  $\lambda \in \ker_D(.W_2)$ , then  $W_1Z_1 + W_2Z_2 = I_p$  yields  $\lambda = (\lambda W_1)Z_1 \in D^{1\times r}Z_1$ , i.e.,  $\ker_D(.W_2) \subseteq D^{1\times r}Z_1$ , which shows that  $\ker_D(.Q_1) = \ker_D(.W_2) = D^{1\times r}Z_1$ . Using the identity  $Z_1W_1 = I_r$  coming from  $W^{-1}W = I_p$ , we get  $\ker_D(.\Lambda) = D^{1\times r}(Z_1W_1V_1) = D^{1\times r}V_1$ . Finally,

row rank ( $\nu \in \ker_D(V_1)$  yields  $\nu = (\nu V_1) X_1 = 0$ ), and thus  $\ker_D(\Lambda)$  is a free left *D*-module of rank *r*.

2. Let us define the following matrices

$$\begin{split} X &= (X_1 \quad X_2) \in D^{q \times q}, \quad V = (V_1^T \quad V_2^T)^T \in D^{q \times q}, \\ W &= (W_1 \quad W_2) \in D^{p \times p}, \quad Z = (Z_1^T \quad Z_2^T)^T \in D^{p \times p}, \end{split}$$

with the notations (23). Then, using (22), we get:

$$\begin{split} RW &= (RW_1 \quad RQ_1) = (RW_1 \quad \Lambda Q_2) \\ &= (RW_1 \quad \Lambda) \left( \begin{array}{cc} I_r & 0 \\ 0 & Q_2 \end{array} \right) = X \left( \begin{array}{cc} I_r & 0 \\ 0 & \overline{R} \end{array} \right). \end{split}$$

We note that  $(I_q - \Lambda \Gamma) \Lambda = 0$  since  $\Gamma \Lambda = I_{q-r}$ , and thus  $D^{1 \times q} (I_q - \Lambda \Gamma) \subseteq \ker_D(\Lambda) = D^{1 \times r} B$ , which shows that  $F \in D^{q \times r}$  exists such that  $I_q - \Lambda \Gamma = F B$ . Then, we have  $\Gamma F B = \Gamma (I_q - \Lambda \Gamma) = \Gamma - (\Gamma \Lambda) \Gamma = 0$  since  $\Gamma \Lambda = I_{q-r}$ . Then, using (22),  $\Gamma F B = 0$  and (24), we obtain:

$$XV = (RW_1 \quad \Lambda) \begin{pmatrix} B \\ \Gamma - S_2 F B \end{pmatrix}$$
  
=  $R(S_1 + Q_1 T_2 \Gamma) F B + \Lambda (\Gamma - S_2 F B)$   
=  $(RS_1) (FB) + \Lambda \Gamma - \Lambda S_2 F B$   
=  $(I_q + \Lambda S_2) F B + \Lambda \Gamma - \Lambda S_2 F B = F B + \Lambda \Gamma = I_q,$ 

which yields  $X \in \operatorname{GL}_q(D)$  and  $V = X^{-1} \in \operatorname{GL}_q(D)$ . Finally, using (22),  $\Gamma F B = 0$  and (24), we obtain

$$WZ = ((S_1 + Q_1 T_2 \Gamma) F Q_1) \begin{pmatrix} BR \\ T_1 - T_2 \Gamma R \end{pmatrix}$$
  
=  $S_1 (FB) R + Q_1 T_1 - (Q_1 T_2) \Gamma R$   
=  $S_1 (I_q - \Lambda \Gamma) R + Q_1 T_1 + S_1 \Lambda \Gamma R$   
=  $S_1 R + Q_1 T_1 = I_p$ ,

which yields  $W \in \operatorname{GL}_p(D)$  and  $Z = W^{-1} \in \operatorname{GL}_p(D)$ .

V. FROM SERRE'S REDUCTION TO DECOMPOSITION

Theorem 4: Let  $R \in D^{q \times p}$  and  $\Lambda \in D^{q \times (q-r)}$  satisfy the conditions of 2 of Theorem 3. If  $\Gamma \in D^{(q-r) \times q}$  is a left inverse of  $\Lambda$  and  $B \in D^{r \times q}$  a basis of the free left D-module  $\ker_D(\Lambda)$  of rank r, then, with the notations (22) and (23), we have:

- 1)  $\Delta := S_1 F B \in D^{p \times q}$  satisfies  $\Delta R \Delta = \Delta$ .
- 2) The matrices  $P := W_2 Z_2 = I_p \Delta R \in D^{p \times p}$  and  $Q := I_q R \Delta \in D^{q \times q}$  are *idempotents*, i.e.,  $P^2 = P$ and  $Q^2 = Q$ , and satisfy R P = Q R.
- 3) With the notations (23), the left *D*-modules  $\ker_D(.P)$ ,  $\operatorname{im}_D(.P)$ ,  $\operatorname{ker}_D(.Q)$ ,  $\operatorname{im}_D(.Q)$  are defined by

$$\ker_D(.P) = D^{1 \times r} Z_1, \quad \operatorname{im}_D(.P) = D^{1 \times (p-r)} Y_1, \\ \ker_D(.Q) = D^{1 \times r} V_1, \quad \operatorname{im}_D(.Q) = D^{1 \times (q-r)} V_2,$$

i.e., are free of rank respectively r, p - r, r and q - r. Hence, Theorem 4.2 of [5] holds with the above P and Q.

*Proof:* 1. We first note that (24) and  $B\Lambda = 0$  yield  $BFB + B\Lambda\Gamma = B$ , i.e.,  $(BF - I_r)B = 0$ , i.e.,  $BF = I_r$ 

 $VV^{-1} = I_q$  yields  $V_1 X_1 = I_r$ , which shows that  $V_1$  has full since B has full row rank. Now, using (22),  $B\Lambda = 0$  and  $B F = I_r$ , we get:

$$\Delta R \Delta = S_1 F B (R S_1) F B = S_1 F B (I_q + \Lambda S_2) F B$$
$$= S_1 F (B F) B = S_1 F B = \Delta.$$

2. Since  $W_1 Z_1 + W_2 Z_2 = I_p$ , we get

 $(S_1$ 

$$P := W_2 Z_2 = I_p - W_1 Z_1$$
  
=  $I_p - ((S_1 + Q_1 T_2 \Gamma) F B) R$ ,

where, using (24) and  $\Gamma (I_a - \Lambda \Gamma) = 0$ , we obtain

$$+ Q_1 T_2 \Gamma) (F B) = (S_1 + Q_1 T_2 \Gamma) (I_q - \Lambda \Gamma)$$
$$= S_1 (I_q - \Lambda \Gamma) = S_1 F B = \Delta,$$

i.e.,  $P = I_p - \Delta R$ . Now,  $\Delta R \Delta = \Delta$  yields  $P^2 = P, Q^2 = Q$ and RP = QR. Using (22) and (24), we get:

$$Q = I_q - (R S_1) F B = I_q - (I_q + \Lambda S_2) F B$$
  
=  $(I_q - F B) - \Lambda S_2 F B = \Lambda (\Gamma - S_2 F B) = X_2 V_2.$ 

The identity  $ZW = I_p$  yields  $\ker_D(W_2) = D^{1 \times r} Z_1$  and  $Z_2 W_2 = I_{p-r}$ . The latter identity implies that  $Z_2$  is injective,  $W_2$  is surjective and  $Z_2$  has full row rank. Thus,

$$\ker_D(.P) = \ker_D(.(W_2 Z_2)) = \ker_D(.W_2) = D^{1 \times r} (B R),$$
  
$$\operatorname{im}_D(.P) = \operatorname{im}_D(.(W_2 Z_2)) = \operatorname{im}_D(.Z_2) = D^{1 \times (p-r)} Z_2,$$

which proves that ker<sub>D</sub>(.P) and im<sub>D</sub>(.P) are free left Dmodules of rank respectively r and p - r.

The identity  $VX = I_q$  yields  $\ker_D(X_2) = D^{1 \times r} V_1$  and  $V_2 X_2 = I_{q-r}$ . The latter identity implies that  $V_2$  is injective,  $X_2$  is surjective and  $V_2$  has full row rank. Thus,

$$\ker_D(.Q) = \ker_D(.(X_2 V_2)) = \ker_D(.X_2) = D^{1 \times r} B,$$
  
$$\operatorname{im}_D(.Q) = \operatorname{im}_D(.(X_2 V_2)) = \operatorname{im}_D(.V_2) = D^{1 \times (q-r)} V_2,$$

which proves that  $\ker_D(.Q)$  and  $\operatorname{im}_D(.Q)$  are free left Dmodules of rank respectively r and q - r.

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