

On the Stafford and Quillen-Suslin theorems and flat multidimensional linear systems

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Workshop on Gröbner Bases in Control Theory and Signal
Processing - Linz

Monge problem (1784)

- Let D be a ring of differential operators

(e.g., $d_i = \partial/\partial x_i$, $D = k[x_1, \dots, x_n][d_1, \dots, d_n]$).

- Let \mathcal{F} be a functional space which satisfies:

$\forall P_1, P_2 \in D, \forall y_1, y_2 \in \mathcal{F} : P_1 y_1 + P_2 y_2 \in \mathcal{F}$ (e.g., $\mathcal{F} = C^\infty(\mathbb{R}^n)$).

Let us consider $R \in D^{q \times p}$ and the linear system of PDEs:

$$\ker_{\mathcal{F}}(R.) \triangleq \{\eta \in \mathcal{F}^p \mid R \eta = 0\}.$$

- Question: When does $Q \in D^{p \times m}$ exist such that:

$$\ker_{\mathcal{F}}(R.) = \text{im}_{\mathcal{F}}(Q.) \triangleq Q \mathcal{F}^m?$$

$\Rightarrow Q$ is called a parametrization of $\ker_{\mathcal{F}}(R.)$.

Example

- Example: $D = \mathbb{R}(t) \left[\frac{d}{dt} \right]$, $\mathcal{F} = C^\infty(\mathbb{R})$,

$$R = \left(\frac{d^2}{dt^2} + \alpha(t) \frac{d}{dt} + 1, -\frac{d}{dt} - \alpha(t) \right) \in D^{1 \times 2}.$$

$$\ddot{y}(t) + \alpha(t) \dot{y}(t) + y(t) - \dot{u}(t) - \alpha(t) u(t) = 0 \quad (\star)$$

$$\Leftrightarrow \begin{cases} y(t) = \dot{\xi}(t) + \alpha(t) \xi(t), \\ u(t) = \ddot{\xi}(t) + \alpha(t) \dot{\xi}(t) + (\dot{\alpha}(t) + 1) \xi(t). \end{cases} \quad (\star\star)$$

($\star\star$) is an **injective parametrization** of (\star) as $\xi = -\dot{y} + u$.

- Example: $D = \mathbb{R}[d_1, d_2, d_3]$, $d_i = \partial/\partial x_i$, $\mathcal{F} = C^\infty(\mathbb{R}^3)$,

$$\operatorname{div} \vec{A} = 0 \Leftrightarrow \exists \vec{B} \in \mathcal{F}^3 : \vec{A} = \operatorname{curl} \vec{B},$$

$$\operatorname{curl} \vec{B} = \vec{0} \Leftrightarrow \exists f \in \mathcal{F} : \vec{B} = \operatorname{grad} f.$$

Flatness: two pendula mounted on a car

- We consider two pendula mounted on a car:

$$\begin{cases} m_1 L_1 \ddot{w}_1(t) + m_2 L_2 \ddot{w}_2(t) - w_3(t) + (M + m_1 + m_2) \ddot{w}_4(t) = 0, \\ m_1 L_1^2 \ddot{w}_1(t) - m_1 L_1 g w_1(t) + m_1 L_1 \ddot{w}_4(t) = 0, \\ m_2 L_2^2 \ddot{w}_2(t) - m_2 L_2 g w_2(t) + m_2 L_2 \ddot{w}_4(t) = 0. \end{cases} \quad (*)$$

- (*) is parametrizable iff $L_1 \neq L_2$.
- If $L_1 \neq L_2$ then a parametrization of (*) is defined by:

$$\begin{cases} w_1(t) = -L_2 \xi^{(4)}(t) + g \ddot{\xi}(t), \\ w_2(t) = -L_1 \xi^{(4)}(t) + g \ddot{\xi}(t), \\ w_3(t) = L_1 L_2 M \xi^{(6)}(t) - (L_1 m_2 + L_2 m_1 + g(L_1 + L_2) M) \xi^{(4)}(t) \\ \quad + g^2 (m_1 + m_2 + M) \xi^{(2)}(t) \\ w_4(t) = L_1 L_2 \xi^{(4)}(t) - g(L_1 + L_2) \ddot{\xi}(t) + g^2 \xi(t). \end{cases}$$

- The parametrization of (*) is injective as we have:

$$\xi(t) = \frac{1}{g^2(L_1 - L_2)} (L_1^2 w_1(t) - L_2^2 w_2(t) + (L_1 - L_2) w_4(t)). \quad (**)$$

The system is called flat and (**) a flat output (Fliess & co.).

Flatness: two pendula mounted on a car

- Patching problem \Leftrightarrow controllability: $T > 0$.

$w^P = (w_1^P, w_2^P, w_3^P, w_4^P)$ a past trajectory of (\star) on $]-\infty, 0[$.

$w^f = (w_1^f, w_2^f, w_3^f, w_4^f)$ a future trajectory of (\star) on $]T, +\infty[$.

$\Rightarrow \exists w = (w_1, w_2, w_3, w_4) \in C^\infty(\mathbb{R})^4$ trajectory of (\star) :

$$\begin{cases} w|_{]-\infty, 0[} = w^P, \\ w|_{]T, +\infty[} = w^f. \end{cases}$$

- Using the flat output

$$\xi(t) = \frac{1}{g^2(L_1 - L_2)} (L_1^2 w_1(t) - L_2^2 w_2(t) + (L_1 - L_2) w_4(t))$$

and the parametrization, it is enough to find $\xi \in C^\infty(\mathbb{R})$ s.t.:

$$\xi|_{]-\infty, 0[} = \xi^P \quad \& \quad \xi|_{]T, +\infty[} = \xi^f.$$

Optimal control

- Let us minimize $\frac{1}{2} \int_0^T (x(t)^2 + u(t)^2) dt$ (1) under:

$$\dot{x}(t) + x(t) - u(t) = 0, \quad x(0) = x_0. \quad (2)$$

- (2) is parametrized by $\begin{cases} x(t) = \xi(t), \\ u(t) = \dot{\xi}(t) + \xi(t). \end{cases}$ (3)

- (1) & (3) $\Rightarrow \min \frac{1}{2} \int_0^T (\xi(t)^2 + (\dot{\xi}(t) + \xi(t))^2) dt,$

\Rightarrow Euler-Lagrange equations $\begin{cases} \xi(t) = x(t), \\ \dot{\xi}(t) + \xi(t) = u(t), \\ \ddot{\xi}(t) - 2\xi(t) = 0, \\ \dot{\xi}(T) + \xi(T) = 0, \\ \xi(0) = x_0, \end{cases}$

$$\Rightarrow u(t) = \frac{-e^{\sqrt{2}(t-T)} + e^{-\sqrt{2}(t-T)}}{(1 - \sqrt{2}) e^{\sqrt{2}(t-T)} - (1 + \sqrt{2}) e^{-\sqrt{2}(t-T)}} x(t).$$

Motion planning

- Flexible rod with a torque (Mounier 95):

$$\begin{cases} \sigma^2 \frac{\partial^2 q(\tau, x)}{\partial \tau^2} - \frac{\partial^2 q(\tau, x)}{\partial x^2} = 0, \\ \frac{\partial q}{\partial x}(\tau, 0) = -u(\tau), \\ \frac{\partial q}{\partial x}(\tau, L) = -J \frac{\partial^2 q}{\partial \tau^2}(\tau, L), \\ y(\tau) = q(\tau, L). \end{cases} \quad (*)$$

- $q(\tau, x) = \phi(\tau + \sigma x) + \psi(\tau - \sigma x)$, $t = (\sigma/J)\tau$, $v = (2J/\sigma^2)u$,

$$(*) \Rightarrow \ddot{y}(t+1) + \ddot{y}(t-1) + \dot{y}(t+1) - \dot{y}(t-1) = v(t)$$

$$\Leftrightarrow \begin{cases} y(t) = \xi(t-1), \\ v(t) = \ddot{\xi}(t) + \ddot{\xi}(t-2) + \dot{\xi}(t) - \dot{\xi}(t-2). \end{cases}$$

- If y_r is a desired trajectory then $\xi_r(t) = y_r(t+1)$ and we obtain the open-loop control law:

$$v_r(t) = \ddot{y}_r(t+1) + \ddot{y}_r(t-1) + \dot{y}_r(t+1) - \dot{y}_r(t-1).$$

Variational problems

- Let us extremize the electromagnetism Lagragian

$$\int \left(\frac{1}{2\mu_0} \parallel \vec{B} \parallel^2 - \frac{\epsilon_0}{2} \parallel \vec{E} \parallel^2 \right) dx_1 dx_2 dx_3 dt, \quad (1)$$

where \vec{B} and \vec{E} satisfy:

$$\begin{cases} \frac{\partial \vec{B}}{\partial t} + \vec{\nabla} \wedge \vec{E} = \vec{0}, \\ \vec{\nabla} \cdot \vec{B} = 0, \end{cases} \Leftrightarrow \begin{cases} \vec{E} = -\vec{\nabla} V - \frac{\partial \vec{A}}{\partial t}, \\ \vec{B} = \vec{\nabla} \wedge \vec{A}. \end{cases} \quad (3)$$

- Substituting (3) in (1) and using Lorentz gauge

$$\vec{\nabla} \cdot \vec{A} + \frac{1}{c^2} \frac{\partial V}{\partial t} = 0, \quad c^2 = 1/(\epsilon_0 \mu_0),$$

$$\Rightarrow \begin{cases} \frac{1}{c^2} \frac{\partial^2 \vec{A}}{\partial t^2} - \Delta \vec{A} = 0, \\ \frac{1}{c^2} \frac{\partial^2 V}{\partial t^2} - \Delta V = 0. \end{cases} \quad (\text{electromagnetic waves}).$$

Definitions

- Definition: 1. M is **free** if $\exists r \in \mathbb{Z}_+$ such that $M \cong D^r$.
- 2. M is **stably free** if $\exists r, s \in \mathbb{Z}_+$ such that $M \oplus D^s \cong D^r$.
- 3. M is **projective** if $\exists r \in \mathbb{Z}_+$ and a D -module P such that:

$$M \oplus P \cong D^r.$$

- 4. M is **reflexive** if $\varepsilon : M \rightarrow \text{hom}_D(\text{hom}_D(M, D), D)$ is an isomorphism, where:

$$\varepsilon(m)(f) = f(m), \quad \forall m \in M, \quad f \in \text{hom}_D(M, D).$$

- 5. M is **torsion-free** if:

$$t(M) = \{m \in M \mid \exists 0 \neq P \in D : P m = 0\} = 0.$$

Classification of modules

- Theorem:

1. We have the following implications:

free \Rightarrow stably free \Rightarrow projective \Rightarrow reflexive \Rightarrow torsion-free.

2. If D is a principal domain (e.g., $\mathbb{Q}(t) \left[\frac{d}{dt} \right]$), then:

torsion-free = free.

3. If D is a hereditary ring (e.g., $\mathbb{Q}[t] \left[\frac{d}{dt} \right]$), then:

torsion-free = projective.

4. If $D = k[d_1, \dots, d_n]$, k is a field of constants, then:

projective = free (Quillen-Suslin theorem).

Involution & formal adjoint

- Let $\mathbb{Q} \subseteq k$ be a field and D a Weyl algebra:

$$A_n(k) = k[x_1, \dots, x_n][d_1, \dots, d_n],$$

$$B_n(k) = k(x_1, \dots, x_n)[d_1, \dots, d_n].$$

- Let θ be the involution of D defined by:

$$\theta(d_i) = -d_i, \quad \theta(x_i) = x_i, \quad \theta(a) = a, \quad \forall a \in k.$$

$$(\theta : D \rightarrow D \text{ } k\text{-linear map}, \quad \theta(PQ) = \theta(Q)\theta(P), \quad \theta^2 = \text{id}).$$

- If $R \in D^{q \times p}$, then the formal adjoint of R is defined by:

$$\theta(R) = (\theta(R_{ij}))^T.$$

$$\bullet M = D^{1 \times p}/(D^{1 \times q} R), \quad \widetilde{N} = D^{1 \times q}/(D^{1 \times p} \theta(R)).$$

- If \mathcal{F} is a left D -module, then $\ker_{\mathcal{F}}(R) \cong \hom_D(M, \mathcal{F})$.

Module M	Homological algebra	\mathcal{F} injective cogenerator
with torsion	$t(M) \cong \text{ext}_D^1(\tilde{N}, D)$	\emptyset
torsion-free	$\text{ext}_D^1(\tilde{N}, D) = 0$	$\ker_{\mathcal{F}}(R.) = Q \mathcal{F}^{l_1}$
reflexive	$\text{ext}_D^i(\tilde{N}, D) = 0$ $i = 1, 2$	$\ker_{\mathcal{F}}(R.) = Q_1 \mathcal{F}^{l_1}$ $\ker_{\mathcal{F}}(Q_1.) = Q_2 \mathcal{F}^{l_2}$
projective = stably free	$\text{ext}_D^i(\tilde{N}, D) = 0$ $1 \leq i \leq n$	$\ker_{\mathcal{F}}(R.) = Q_1 \mathcal{F}^{l_1}$ $\ker_{\mathcal{F}}(Q_1.) = Q_2 \mathcal{F}^{l_2}$ \dots $\ker_{\mathcal{F}}(Q_{n-1}.) = Q_n \mathcal{F}^{l_n}$
free	?	$\ker_{\mathcal{F}}(R.) = Q \mathcal{F}^I$ $\exists T : T Q = I$

Computation bases

- $V = \{(x, y, z)^T \in k^3 \mid 2x + 3y + 5z = 0\}, \quad k = \mathbb{Q}, \mathbb{R}, \mathbb{C}.$

$$2x + 3y + 5z = 0 \Rightarrow x = -\frac{3}{2}y - \frac{5}{2}z \Rightarrow \begin{cases} x = \frac{3}{2}y - \frac{5}{2}z, \\ y = y, \\ z = z, \end{cases} \quad \forall y, z \in k.$$
$$\Rightarrow V = k \left(-\frac{3}{2}, 1, 0 \right)^T + k \left(-\frac{5}{2}, 0, 1 \right)^T \quad \text{basis of } V.$$

- $M = \{(x, y, z)^T \in \mathbb{Z}^3 \mid 2x + 3y + 5z = 0\}.$

$$M = \mathbb{Z}(\alpha_1, \beta_1, \gamma_1)^T + \mathbb{Z}(\alpha_2, \beta_2, \gamma_2)^T \Leftrightarrow \begin{cases} x = \alpha_1 t_1 + \alpha_2 t_2, \\ y = \beta_1 t_1 + \beta_2 t_2, \quad \forall t_i \in \mathbb{Z}, \\ z = \gamma_1 t_1 + \gamma_2 t_2, \end{cases} \quad (*)$$

$\Rightarrow \{(\alpha_i, \beta_i, \gamma_i)^T\}_{1 \leq i \leq 2}$ is a **basis** of M iff $(*)$ is **injective**, i.e.:

$$t_i = a_{i1}x + a_{i2}y + a_{i3}z, \quad a_{ij} \in \mathbb{Z}, \quad i = 1, 2.$$

- **Theorem:** Let us consider $a_1, a_2, a_3 \in D$ and the left ideal:

$$I = D a_1 + D a_2 + D a_3.$$

$$\Rightarrow \exists \lambda, \mu \in D : I = D(a_1 + \lambda a_3) + D(a_2 + \mu a_3).$$

- Two **constructive proofs** have been developed in:
 - ★ A. Hillebrand, W. Schmale, "Towards an effective version of a theorem of Stafford", J. Symbolic Computation, 32 (2001), 699-716.
 - ★ A. Leykin, "Algorithmic proofs of two theorems of Stafford", J. Symbolic Computation, 38 (2004), 15 35-1550.
- **Implementation** in the package STAFFORD of OREMODULES.
- **Corollary:** A **stably free** left D -module M with $\text{rank}_D(M) \geq 2$ is **free**, i.e., M admits a finite basis over D .

Elementary operations

- Definition: 1. The **general linear group** $\mathrm{GL}_m(D)$ is the group of invertible matrices with entries in D :

$$\mathrm{GL}_m(D) = \{ U \in D^{m \times m} \mid \exists V \in D^{m \times m} : U V = V U = I_m \}.$$

- 2. The **elementary group** $\mathrm{EL}_m(D)$ is the subgroup of $\mathrm{GL}_m(D)$ generated by all matrices of the form

$$I_m + r E_{ij}, \quad r \in D, \quad i \neq j,$$

E_{ij} is the matrix defined by 1 at the position (i, j) and 0 else.

- 3. $a = (a_1, \dots, a_m)^T \in D^m$ is called **unimodular** if:

$$\exists b = (b_1, \dots, b_m) \in D^{1 \times m} : b a = \sum_{i=1}^m b_i a_i = 1.$$

$\mathrm{U}_m(D)$ denotes the **set of unimodular vectors** of D^m .

- **Theorem:** Let $m \geq 3$ and $a = (a_1, \dots, a_m)^T \in U_m(D)$. Then, there exists $E \in EL_m(D)$ which satisfies:

$$E a = (1, 0, \dots, 0)^T.$$

- Using **Stafford's result**, there exist $\lambda, \mu \in D$ such that:

$$a' = (a_1 + \lambda a_m, a_2 + \mu a_m, a_3, \dots, a_{m-1})^T \in U_{m-1}(D).$$

- $a'_1 = a_1 + \lambda a_m, \quad a'_2 = a_2 + \mu a_m, \quad a'_i = a_i, \quad i \geq 3,$

$$E_1 = \begin{pmatrix} 1 & 0 & 0 & \dots & 0 & \lambda \\ 0 & 1 & 0 & \dots & 0 & \mu \\ 0 & 0 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 & 0 \\ 0 & 0 & 0 & \dots & 0 & 1 \end{pmatrix} \in EL_m(D).$$

Then, we have $E_1 a = (a'_1, a'_2, \dots, a'_{m-1}, a_m)^T.$

- $a' \in U_{m-1}(D) \Rightarrow \exists b_1, \dots, b_{m-1} \in D$ such that:

$$\sum_{i=1}^{m-1} b_i a'_i = 1 \Rightarrow \sum_{i=1}^{m-1} (a'_1 - 1 - a_m) b_i a'_i = (a'_1 - 1 - a_m).$$

- Let us define $a''_i = (a'_1 - 1 - a_m) b_i$, $i \geq 1$, and:

$$E_2 = \begin{pmatrix} 1 & 0 & 0 & \dots & 0 & 0 \\ 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 & 0 \\ a''_1 & a''_2 & a''_3 & \dots & a''_{m-1} & 1 \end{pmatrix} \in EL_m(D).$$

Then, we have:

$$E_2 (a'_1, \dots, a'_{m-1}, a_m)^T = (a'_1, \dots, a'_{m-1}, a'_1 - 1)^T.$$

- If we define by

$$E_3 = \begin{pmatrix} 1 & 0 & 0 & \dots & 0 & -1 \\ 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 & 0 \\ 0 & 0 & 0 & \dots & 0 & 1 \end{pmatrix} \in \text{EL}_m(D),$$

then we have:

$$E_3 (a'_1, \dots, a'_{m-1}, a'_1 - 1)^T = (1, a'_2, \dots, a'_{m-1}, a'_1 - 1)^T.$$

- Finally, if we denote by

$$E_4 = \begin{pmatrix} 1 & 0 & 0 & \dots & 0 & 0 \\ -a'_2 & 1 & 0 & \dots & 0 & 0 \\ -a'_3 & 0 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ -a'_{m-1} & 0 & 0 & \dots & 1 & 0 \\ -a'_1 + 1 & 0 & 0 & \dots & 0 & 1 \end{pmatrix} \in \text{EL}_m(D),$$

then we finally get:

$$E_4 (1, a'_2, \dots, a'_{m-1}, a'_1 - 1)^T = (1, 0, \dots, 0)^T.$$

- Hence, if we denote by $E = E_4 E_3 E_2 E_1 \in \text{EL}_m(D)$, then:

$$E (a_1, \dots, a_m)^T = (1, 0, \dots, 0)^T.$$

Computation of basis

- Let $R \in D^{q \times p}$ be a matrix such that $p \geq q + 2$ and which admits a **right-inverse** $S \in D^{p \times q}$.

$$0 \longrightarrow D^{1 \times q} \xrightarrow{\cdot R} D^{1 \times p} \xrightarrow{\tau_0} M \longrightarrow 0.$$

$\Rightarrow M$ is a **stably free** left D -module with:

$$\text{rank}_D(M) = p - q \geq 2.$$

- Compute the formal adjoint $\tilde{R} = \theta(R) \in D^{p \times q}$:

$$0 \longleftarrow D^{1 \times q} \xleftarrow{\cdot \tilde{R}} D^{1 \times p} \longleftarrow \ker_D(\cdot \tilde{R}) \longleftarrow 0.$$

- Compute $\widetilde{E}_1 \in \text{EL}_p(D)$ such that:

$$\widetilde{E}_1 \widetilde{R} = \begin{pmatrix} 1 & * \\ 0 & \\ \vdots & \widetilde{R}_2 \\ 0 & \end{pmatrix}, \quad \widetilde{R}_2 \in D^{(p-1) \times (q-1)}.$$

- Compute $\widetilde{E}_2 \in \text{EL}_{p-1}(D)$ such that:

$$\widetilde{E}_2 \widetilde{R}_2 = \begin{pmatrix} 1 & * \\ 0 & \\ \vdots & \widetilde{R}_3 \\ 0 & \end{pmatrix}, \quad \widetilde{R}_3 \in D^{(p-2) \times (q-2)}.$$

$$\widetilde{E}_2' = \begin{pmatrix} 1 & 0 \\ 0 & \widetilde{E}_2 \end{pmatrix} \Rightarrow (\widetilde{E}_2' \widetilde{E}_1) \widetilde{R} = \begin{pmatrix} 1 & * & * \\ 0 & 1 & * \\ \vdots & 0 & \\ \vdots & \vdots & \widetilde{R}_3 \\ 0 & 0 & \end{pmatrix}.$$

- By induction, we obtain $\tilde{E} \in \text{EL}_n(D)$ such that:

$$\tilde{T} = \tilde{E} \tilde{R} = \begin{pmatrix} 1 & * & * & * & * \\ 0 & 1 & * & * & * \\ 0 & 0 & 1 & * & * \\ 0 & 0 & 0 & 1 & * \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 \\ 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

- We easily check that we have:

$$\ker_D(\tilde{T}) = D^{1 \times (p-q)} (0 \quad I_{p-q}).$$

- If we denote by $\tilde{U} = (0 \quad I_{p-q}) \in D^{(p-q) \times p}$, then we obtain the **commutative exact diagram**:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \uparrow & & \uparrow & & \\
 0 & \longleftarrow & D^{1 \times q} & \xleftarrow{\cdot \tilde{R}} & D^{1 \times p} & \longleftarrow & \ker_D(\cdot \tilde{R}) \longleftarrow 0 \\
 & & \parallel & & \uparrow \cdot \tilde{E} & & \\
 0 \longleftarrow \text{coker}_D(\cdot \tilde{T}) & \xleftarrow{\kappa'_0} & D^{1 \times q} & \xleftarrow{\cdot \tilde{T}} & D^{1 \times p} & \xleftarrow{\cdot \tilde{U}} & D^{1 \times (p-q)} \longleftarrow 0. \\
 & & \uparrow & & \uparrow & & \\
 & & 0 & & 0 & &
 \end{array}$$

- In particular, we obtain that:

$$\ker_D(\cdot \tilde{R}) = D^{1 \times (p-q)} (\tilde{U} \tilde{E}) \cong D^{1 \times (p-q)}.$$

Therefore, we have the splitting exact sequence:

$$0 \longleftarrow D^{1 \times q} \xleftarrow{\cdot \tilde{R}} D^{1 \times p} \xleftarrow{\cdot (\tilde{U} \tilde{E})} D^{1 \times (p-q)} \longleftarrow 0.$$

- By duality, we obtain the splitting exact sequence

$$0 \longrightarrow D^{1 \times q} \xrightarrow{\cdot R} D^{1 \times p} \xrightarrow{\cdot (E U)} D^{1 \times (p-q)} \longrightarrow 0,$$

where $E = \theta(\tilde{E})$, which shows that:

$$M = D^{1 \times p} (E \ U) \cong D^{1 \times (p-q)}.$$

- A basis of M is then defined by taking the last $p - q$ columns of the matrix $E = \theta(\tilde{E})$ and:

$$T = R E = (I_q \quad 0) \Leftrightarrow E^{-1} = \begin{pmatrix} R \\ \star \end{pmatrix} \in \mathrm{GL}_p(D).$$

Example

- Let us consider the linear control system:

$$\begin{cases} \dot{x}_1(t) - t u_1(t) = 0, \\ \dot{x}_2(t) - u_2(t) = 0. \end{cases} \quad (*) \quad \Rightarrow R = \begin{pmatrix} \frac{d}{dt} & 0 & -t & 0 \\ 0 & \frac{d}{dt} & 0 & -1 \end{pmatrix}.$$

- We have the injective parametrization of $(*)$.

$$\begin{cases} x_1(t) = \xi_1(t), \\ x_2(t) = \xi_2(t), \\ u_1(t) = \frac{1}{t} \dot{\xi}_1(t), \\ u_2(t) = \dot{\xi}_2(t). \end{cases} \quad (**)$$

- The parametrization $(**)$ is singular at $t = 0$.
- $M = B_1(\mathbb{Q})^{1 \times 4} / (B_1(\mathbb{Q})^{1 \times 2} R)$ is free with basis $\{x_1, x_2\}$.

- We define the left $D = \mathbb{Q}[t] \left[\frac{d}{dt} \right]$ -module $P = D^{1 \times 4} / (D^{1 \times 2} R)$.
- P is a **stably free** D -module as R admits the **right-inverse**:

$$S = \begin{pmatrix} 0 & 0 & 0 & -1 \\ t & 0 & \frac{d}{dt} & 0 \end{pmatrix}^T.$$

- Using a **constructive algorithm** developed in previous publications, we can obtain the **parametrization** of (\star) :

$$\begin{cases} x_1(t) = -t^2 \xi_1(t) + t \dot{\xi}_2(t) - \xi_2(t), \\ x_2(t) = -\xi_3(t), \\ u_1(t) = -t \dot{\xi}_1(t) - 2 \xi_1(t) + \ddot{\xi}_2(t), \\ u_2(t) = -\dot{\xi}_3(t). \end{cases} \quad (\star \star \star)$$

$(\star \star \star)$ is clearly **non-injective** as $\text{rank}_D(P) = 2$.

- P is a stably free left D -module of $\text{rank}_D(P) = 2$, i.e., **free**.

- The **formal adjoint** of R is $\tilde{R} = \begin{pmatrix} 0 & -\frac{d}{dt} & 0 & -1 \\ -\frac{d}{dt} & 0 & -t & 0 \end{pmatrix}^T$.
- $D0 + D(-\frac{d}{dt}) + D1 = D(0+1) + D(-\frac{d}{dt} + 0 \times 1)$.

Taking $a_1 = 1$ and $a_2 = 0$, we define the **elementary matrices**:

$$\widetilde{E}_1 = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \widetilde{E}_2 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix},$$

$$\widetilde{E}_3 = \begin{pmatrix} 1 & 0 & 0 & -1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \widetilde{E}_4 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ \frac{d}{dt} & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 2 & 0 & 0 & 1 \end{pmatrix}.$$

- Defining $\widetilde{E} = \widetilde{E}_4 \widetilde{E}_3 \widetilde{E}_2 \widetilde{E}_1$, we get:

$$\widetilde{E} \widetilde{R} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & -t & -\frac{d}{dt} \end{pmatrix}^T.$$

- We have $D 0 + D(-t) + D\left(-\frac{d}{dt}\right) = D\left(0 - \frac{d}{dt}\right) + D(-t)$.

Taking $b_1 = 1$ and $b_2 = 0$, we define the **elementary matrices**:

$$\widetilde{F}_1 = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \widetilde{F}_2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ -t & \frac{d}{dt} & 1 \end{pmatrix},$$

$$\widetilde{F}_3 = \begin{pmatrix} 1 & 0 & -1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \widetilde{F}_4 = \begin{pmatrix} 1 & 0 & 0 \\ t & 1 & 0 \\ \frac{d}{dt} + 1 & 0 & 1 \end{pmatrix},$$

- If we define $\widetilde{F} = \widetilde{F}_4 \widetilde{F}_3 \widetilde{F}_2 \widetilde{F}_1$ and $\widetilde{G} = \text{diag}(1, \widetilde{F})$, we then get:

$$(\widetilde{G} \widetilde{E}) \widetilde{R} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \\ 0 & 0 \end{pmatrix}.$$

- Taking the **last two columns** of the formal adjoint of $\widetilde{G} \widetilde{E}$, we obtain the matrix defining a **parametrization** of (\star) :

$$Q = \begin{pmatrix} t^2 & -t \frac{d}{dt} + 1 \\ t(t+1) & -(t+1) \frac{d}{dt} + 1 \\ t \frac{d}{dt} + 2 & -\frac{d^2}{dt^2} \\ t(t+1) \frac{d}{dt} + 2 & -(t+1) \frac{d^2}{dt^2} \end{pmatrix}$$

- Q is an **injective parametrization** of (\star) as

$$T = \begin{pmatrix} 0 & 0 & t+1 & -1 \\ t+1 & -t & 0 & 0 \end{pmatrix}$$

is a **left-inverse** of Q , i.e., $T Q = I_2$.

- Equivalently, time-varying linear control system

$$\begin{cases} \dot{x}_1(t) - t u_1(t) = 0, \\ \dot{x}_2(t) - u_2(t) = 0, \end{cases}$$

is injectively parametrized by

$$(\star) \Leftrightarrow \begin{cases} x_1(t) = t^2 \xi_1(t) - t \dot{\xi}_2(t) + \xi_2(t), \\ x_2(t) = t(t+1) \xi_1(t) - (t+1) \dot{\xi}_2(t) + \xi_2(t), \\ u_1(t) = t \dot{\xi}_1(t) + 2 \xi_1(t) - \ddot{\xi}_2(t), \\ u_2(t) = t(t+1) \dot{\xi}_1(t) + (2t+1) \xi_1(t) - (t+1) \ddot{\xi}_2(t) \end{cases}$$

and $\{\xi_1, \xi_2\}$ is a basis of the free left D -module P as:

$$\begin{cases} \xi_1(t) = (t+1) u_1(t) - u_2(t), \\ \xi_2(t) = (t+1) x_1(t) - t x_2(t). \end{cases}$$

Controllability v.s. Flatness

- Theorem: A **controllable** linear ordinary differential system with **polynomial coefficients** and **at least two inputs** is **flat**.
- Question: Can we extend the result to the case of **analytic coefficients** (i.e., **coefficients ring $C\{t\}$**)?
- The question is equivalent to know if the ring $D = C\{t\} \left[\frac{d}{dt} \right]$ is a **strongly simple**, namely, for all $a_1, a_2, a_3 \in D$:
$$\exists \lambda, \mu \in D : D a_1 + D a_2 + D a_3 = D(a_1 + \lambda a_3) + D(a_2 + \mu a_3).$$

Sontag's example

- We consider the time-varying ordinary differential system:

$$\dot{x}(t) - t u(t) = 0.$$

- The system is controllable in a neighborhood of $t = 0$ as:

$$\text{rank}_{\mathbb{R}}(B(t) = t, \dot{B}(t) - A(t)B(t) = 1)(0) = 1.$$

- See Sontag's textbook for more details.
- Let $D = A_1(\mathbb{Q})$, $R = \begin{pmatrix} \frac{d}{dt} & -t \end{pmatrix} \in D^{1 \times 2}$ and $M = D^{1 \times 2}/(D R)$:

$$0 \longrightarrow D \xrightarrow{\cdot R} D^{1 \times 2} \xrightarrow{\pi} M \longrightarrow 0.$$

- The matrix R admits a right-inverse $S = \begin{pmatrix} t & \frac{d}{dt} \end{pmatrix}^T$, i.e., $R S = 1$
 $\Rightarrow M$ is a stably free left D -module of rank 1.

Sontag's example

- The left D -module M admits the **minimal parametrization**

$$Q = \begin{pmatrix} t^2 \\ t \frac{d}{dt} + 2 \end{pmatrix} \in D^2,$$

i.e., we have the following **exact sequence**

$$0 \longrightarrow D \xrightarrow{\cdot R} D^{1 \times 2} \xrightarrow{\cdot Q} D \longrightarrow L \longrightarrow 0,$$

where L is a **torsion left D -module**.

- We obtain $M \cong D^{1 \times 2} Q = D t^2 + D \left(t \frac{d}{dt} + 2 \right)$
 $\Rightarrow M$ is **free** iff $I = D t^2 + D \left(t \frac{d}{dt} + 2 \right)$ is **principal**.

Sontag's example: Coutinho's trick

- Definition: 1. We define by $L(P) = a_m$ the **leading term** of

$$P = \sum_{i=0}^m a_i(t) \frac{dt^i}{dt^i} \in D, \quad a_m \neq 0.$$

- 2. The **order** $\text{ord}(P)$ of P is m .
- 3. We define the **family of ideals** of $\mathbb{Q}[t]$ defined by:

$$I_m = \{L(P) \in \mathbb{Q}[t] \mid P \in D, \text{ord}(P) = m\} \cup \{0\}.$$

- Let us suppose that I is **principal**, i.e., $I = DP$, $\text{ord}(P) = r$.

$$\begin{cases} L(tP) = tL(P), \\ L\left(\frac{d}{dt}P\right) = L(P), \end{cases} \Rightarrow I_{r+s} = I_r, \quad \forall s \geq 0.$$

- The system $\dot{x}(t) - t u(t) = 0$ is **not flat** as we have:

$$I = D t^2 + D \left(t \frac{d}{dt} + 2 \right) \Rightarrow I_0 = (t^2) \subsetneq I_1 = (t^2, t) = (t).$$

Example

- Let $D = A_3(\mathbb{Q})$ and $R = -(d_1 - x_3, \quad d_2, \quad d_3)$.
- We define the **left D -module** $M = D^{1 \times 3}/(D R)$ which corresponds to the equation:

$$d_1 y_1(x) + d_2 y_2(x) + d_3 y_3(x) - x_3 y_1(x) = 0. \quad (*)$$

- Does $(*)$ admit an injective parametrization?
- $S = (-d_3, \quad 0, \quad d_1 - x_3)^T$ satisfies $R S = 1$, and thus, M is **stably free** of rank $3-1=2$, i.e., **free**.
- The **formal adjoint** \tilde{R} of R is defined by

$$\tilde{R} = (d_1 + x_3, \quad d_2, \quad d_3)^T$$

is then **unimodular** as we have $\tilde{S} \tilde{R} = 1$.

- An effective version of **Stafford's result** gives

$$D(d_1 + x_3) + Dd_2 + Dd_3 = D(d_1 + x_3) + D(d_2 + d_3),$$

as
$$\begin{cases} d_2 = (d_2(d_2 + d_3))P_1 - (d_2(d_1 + x_3))P_2, \\ d_3 = (d_3(d_2 + d_3))P_1 - (d_3(d_1 + x_3))P_2, \end{cases}$$

where $P_1 = d_1 + x_3$ and $P_2 = d_2 + d_3$.

- Taking $\lambda = 0$ and $\mu = 1$, we can then define:

$$\widetilde{E}_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}, \quad \widetilde{E}_2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ Q_1 & Q_2 & 1 \end{pmatrix},$$

where
$$\begin{cases} Q_1 = (d_1 + x_3 - 1 - d_3)(d_2 + d_3), \\ Q_2 = -(d_1 + x_3 - 1 - d_3)(d_1 + x_3), \end{cases}$$

$$\widetilde{E}_3 = \begin{pmatrix} 1 & 0 & -1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \widetilde{E}_4 = \begin{pmatrix} 1 & 0 & 0 \\ -(d_2 + d_3) & 0 & 1 \\ -(d_1 + x_3 - 1) & 0 & 1 \end{pmatrix}.$$

- Defining $\tilde{E} = \widetilde{E}_4 \widetilde{E}_3 \widetilde{E}_2 \widetilde{E}_1$, we finally get:

$$\tilde{E}(d_1 + x_3, \quad d_2, \quad d_3)^T = (1, \quad 0, \quad 0)^T.$$

- Taking the last two columns of $\theta(\tilde{E})$, we obtain:

$$\begin{cases} y_1(x) = (1 - L_1)(d_2 + d_3)\xi_1(x) + ((1 - L_1)(d_1 - x_3) + 1)\xi_2(x), \\ y_2(x) = (-L_2(d_2 + d_3) + 1)\xi_1(x) - L_2(d_1 - x_3)\xi_2(x), \\ y_3(x) = (-(1 + L_2)(d_2 + d_3) + 1)\xi_1(x) - (1 + L_2)(d_1 - x_3)\xi_2(x), \end{cases}$$

where $\begin{cases} L_1 = (d_2 + d_3)(d_1 - d_3 - x_3 + 1), \\ L_2 = -(d_1 + x_3)(d_1 - d_3 - x_3 + 1). \end{cases}$

$$\begin{cases} y_1(x) = (1 - L_1)(d_2 + d_3)\xi_1(x) + ((1 - L_1)(d_1 - x_3) + 1)\xi_2(x), \\ y_2(x) = (-L_2(d_2 + d_3) + 1)\xi_1(x) - L_2(d_1 - x_3)\xi_2(x), \\ y_3(x) = (-(1 + L_2)(d_2 + d_3) + 1)\xi_1(x) - (1 + L_2)(d_1 - x_3)\xi_2(x), \end{cases}$$

is an **injective parametrization** of the system

$$d_1 y_1(x) + d_2 y_2(x) + d_3 y_3(x) - x_3 y_1(x) = 0, \quad (\star)$$

as we have:

$$\begin{cases} \xi_1(x) = (-d_1^2 + d_1 d_3 - x_3 d_3 + (2x_3 - 1)d_1 - x_3^2 + x_3 + 1)y_2(x) \\ \quad + (d_1^2 - d_1 d_3 + x_3 d_3 - (2x_3 - 1)d_1 + x_3^2 - x_3)y_3(x), \\ \xi_2(x) = y_1(x) + (-d_3^2 + d_1 d_2 - d_2 d_3 + d_1 d_3 + d_2 - (x_3 - 1)d_3 - x_3 - 2)y_2(x) \\ \quad + (d_3^2 - d_1 d_2 + d_2 d_3 - d_1 d_3 + (x_3 - 1)d_3 + (x_3 - 1)d_2 + 2)y_3(x). \end{cases}$$

- $\{\xi_1, \xi_2\}$ is a **basis** of the left D -module associated with (\star) .

Minimal free resolutions

- **Theorem:** Let us consider a **finite free resolution** of M :

$$0 \longrightarrow D^{1 \times p_m} \xrightarrow{\cdot R_m} D^{1 \times p_{m-1}} \xrightarrow{\cdot R_{m-1}} \dots \xrightarrow{\cdot R_2} D^{1 \times p_1} \xrightarrow{\cdot R_1} D^{1 \times p_0} \xrightarrow{\pi} M \longrightarrow 0.$$

1. If $m \geq 3$ and there exists $S_m \in D^{p_{m-1} \times p_m}$ such that

$R_m S_m = I_{p_m}$, then we have the **finite free resolution of M** (1):

$$0 \longrightarrow D^{1 \times p_{m-1}} \xrightarrow{\cdot T_{m-1}} D^{1 \times (p_{m-2} + p_m)} \xrightarrow{\cdot T_{m-2}} D^{1 \times p_{m-3}} \xrightarrow{\cdot R_{m-3}} \dots \xrightarrow{\pi} M \longrightarrow 0,$$

where $T_{m-1} = (R_{m-1} \quad S_m)$, $T_{m-2} = \begin{pmatrix} R_{m-2} \\ 0 \end{pmatrix}$.

2. If $m = 2$ and there exists $S_2 \in D^{p_1 \times p_2}$ such that $R_2 S_2 = I_{p_2}$,
then we have the **finite free resolution**

$$0 \longrightarrow D^{1 \times p_1} \xrightarrow{\cdot T_1} D^{1 \times (p_0 + p_2)} \xrightarrow{\tau_0} M \longrightarrow 0, \quad (2)$$

where $T_1 = (R_1 \quad S_2)$, $\tau_0 = \begin{pmatrix} \delta_0 \\ 0 \end{pmatrix}$.

Example

- $\dot{\delta}$ satisfies the system $t^2 y(t) = 0, \quad t \dot{y}(t) + 2y(t) = 0$.
- Let us consider $D = A_1(\mathbb{Q})$ and the **left D -module**:

$$M = D / \left(D t^2 + D \left(t \frac{d}{dt} + 2 \right) \right).$$

- M admits the following **finite free resolution** of M :

$$0 \longrightarrow D \xrightarrow{R_2} D^{1 \times 2} D \xrightarrow{R_1} D \xrightarrow{\delta_0} M \longrightarrow 0,$$

$$R_1 = \begin{pmatrix} t^2 & t \frac{d}{dt} + 2 \end{pmatrix}^T, \quad R_2 = \begin{pmatrix} \frac{d}{dt} & -t \end{pmatrix}.$$

- $S_2 = \begin{pmatrix} t & \frac{d}{dt} \end{pmatrix}^T$ is a **right-inverse** of R_2 , and thus, we have:

$$0 \longrightarrow D^{1 \times 2} \xrightarrow{T_1} D^{1 \times 2} \xrightarrow{\tau_0} M \longrightarrow 0, \quad T_1 = \begin{pmatrix} t^2 & t \\ t \frac{d}{dt} + 2 & \frac{d}{dt} \end{pmatrix}.$$

Example

- Let us consider $D = A_3(\mathbb{Q})$ and the matrix:

$$R_1 = \begin{pmatrix} \frac{1}{2} x_2 d_1 & x_2 d_2 + 1 & x_2 d_3 + \frac{1}{2} d_1 \\ -\frac{1}{2} x_2 d_2 - \frac{3}{2} & 0 & \frac{1}{2} d_2 \\ -d_1 - \frac{1}{2} x_2 d_3 & -d_2 & -\frac{1}{2} d_3 \end{pmatrix}.$$

- The left D -module $M = D^{1 \times 3} / (D^{1 \times 3} R_1)$ admits the **finite free resolution** where $R_2 = (d_2 \quad -(d_1 + x_3 d_3) \quad x_2 d_2 + 2)$:

$$0 \longrightarrow D \xrightarrow{\cdot R_2} D^{1 \times 3} \xrightarrow{\cdot R_1} D^{1 \times 3} \xrightarrow{\delta_0} M \longrightarrow 0.$$

- $S_2 = (-x_2 \quad 0 \quad 1)^T$ is a **right-inverse** of R_2 and we have:

$$0 \longrightarrow D^{1 \times 3} \xrightarrow{\cdot T_1} D^{1 \times 4} \xrightarrow{\tau_0} M \longrightarrow 0,$$

$$T_1 = \begin{pmatrix} \frac{1}{2} x_2 d_1 & x_2 d_2 + 1 & x_2 d_3 + \frac{1}{2} d_1 & -x_2 \\ -\frac{1}{2} x_2 d_2 - \frac{3}{2} & 0 & \frac{1}{2} d_2 & 0 \\ -d_1 - \frac{1}{2} x_2 d_3 & -d_2 & -\frac{1}{2} d_3 & 1 \end{pmatrix}.$$

Projective dimension

- Definition: A **projective resolution** of a left D -module M is an exact sequence of the form

$$0 \longrightarrow P_n \xrightarrow{\delta_n} P_{n-1} \xrightarrow{\delta_{n-1}} \dots \xrightarrow{\delta_1} P_0 \xrightarrow{\delta_0} M \longrightarrow 0, \quad (*)$$

where the P_i are projective left D -modules.

- Definition: We call **left projective dimension** of a left D -module M , denoted by $\text{lpd}_D(M)$, the smallest n such that there exists a **projective resolution** of the form $(*)$.
- Proposition: $\text{lpd}_D(M) = n$ iff there exists a finite projective resolution $(*)$ of M , where δ_n is **nonsplit**, i.e., there exists no D -morphism $\tau_n : P_{n-1} \longrightarrow P_n$ s.t. $\tau_n \circ \delta_n = id_{P_n}$ ($P_{-1} = M$).

Computation of the projective dimension

- Algorithm:
 1. Compute a finite free resolution of M .
 2. Set $j = m$ and $T_j = R_m$.
 3. Check if R_j admits a right-inverse S_j over D .
 - ⇒ If not, then exit and $\text{lpd}_D(M) = j$.
 - ⇒ If yes and:
 - (a) If $j = 1$, then exit with $\text{lpd}_D(M) = 0$.
 - (b) If $j = 2$, then compute (2) and return to 3 with $j \leftarrow j - 1$.
 - (c) If $j \geq 3$, then compute (1) and return to 3 with $j \leftarrow j - 1$.
- Example: The left $A_1(\mathbb{Q})$ -module M associated with the annihilator of δ satisfies $\text{lpd}_D(M) = 1$.
- Example: The left $A_3(\mathbb{Q})$ -module M associated with the contact transformations satisfies $\text{lpd}_D(M) = 1$.

OREMODULES

- **OREMODULES** is a tool-box developed in *Maple*.
- **OREMODULES** uses *Ore-algebra* developed by F. Chyzak.
- **OREMODULES** handles linear systems of ODEs, PDEs, discrete equations, differential time-delay equations . . .
- **OREMODULES** computes:
 1. free resolutions, $\text{ext}_D^i(\cdot, D)$, projective dim., Hilbert series,
 2. torsion elements, autonomous elements,
 3. parametrizations of under-determined systems,
 4. left-/right-/generalized inverses,
 5. bases, flat outputs, π -polynomials,
 6. first integrals of motion, Euler-Lagrange equations . . .

Conclusion

- We obtain constructive algorithms for **checking stably freeness** and **computing the bases** over the Weyl algebras.
- These results seem to us much simpler than the ones of:
J. Gago-Vargas, "Bases for projective modules in $A_n(k)$ ", J. Symbolic Computation 36 (2003), 845-853.
- These results as well as the **Stafford** result on the number of generators of left ideal over $D = A_n(\mathbb{Q})$ or $B_n(\mathbb{Q})$ have been **implemented** in STAFFORD:

<http://wwwb.math.rwth-aachen.de/OreModules>.

- Algorithms for the computation of **projective dimensions** and **minimal free resolutions** have also been implemented.

Conclusion

- We recall what **E. Goursat** said about the **Monge problem**:

“Ces résultats sont encore bien particuliers. J’espère qu’ils pourront contribuer à appeler l’attention de quelques jeunes mathématiciens sur un sujet difficile et bien peu étudié. (1930)”

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