

XML Security Views Revisited

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MOSTRARE Project

INRIA Lille - Nord Europe

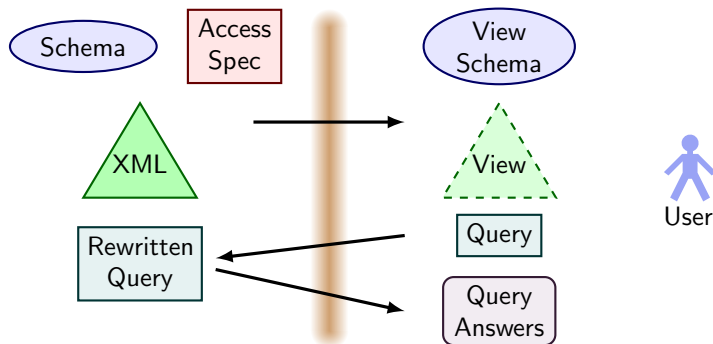
University of Lille 1

ENS Cachan

DBPL'09

August 24, 2009

View Based XML Security Framework



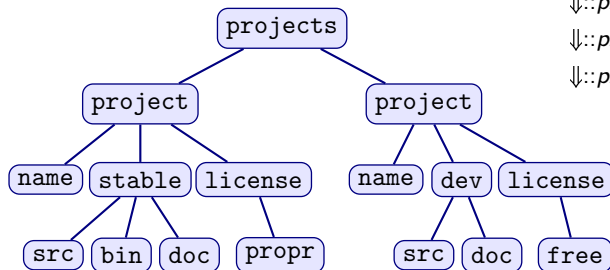
- limited query languages: **downward** Reg XPath [Fan et al. VLDB'06], **vertical** Reg XPath [Rassadko SDM'07], XPath($\{\cap, \backslash\}$) [Vercammen et al.]
- restricted schemas and access specification: **non-recursive** DTDs [Rassadko DBSec'06], **downward closed** accessibility [Libkin and Sirangelo LPAR'08]

Overview

- ① Framework:
 - Regular XPath (both vertical and horizontal axes)
 - Arbitrary DTDs
 - DTD annotations for access specification (defining views)
- ② Rewriting queries over views
- ③ View schema construction
- ④ Static analysis (equivalence, comparison, ...)

Basic Notions

XML and XPath



$\Downarrow::\text{project}/\Downarrow::\text{name}$

$\Downarrow::\text{project}[\Downarrow::\text{stable}]/\Downarrow::\text{name}$

$\Downarrow::\text{project}/\Downarrow::*/\Downarrow::\text{src}$

Regular XPath ($\mathcal{XReg}$)

$\alpha ::= \text{self} \mid \Downarrow \mid \Uparrow \mid \Rightarrow \mid \Leftarrow$

$f ::= \text{lab}() = a \mid Q \mid \text{true} \mid \text{not } f \mid f \text{ and } f$

$Q ::= \alpha \mid [f] \mid Q/Q \mid Q \cup Q \mid Q^*$

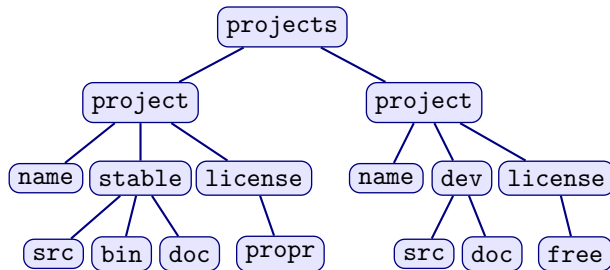
$\alpha^+ := \alpha^*/\alpha$

$\alpha::a := \alpha[\text{lab}() = a]$

$\alpha::* := \alpha$

$Q[f] := Q/[f]$

DTDs and Annotations



DTD

projects → *projects**

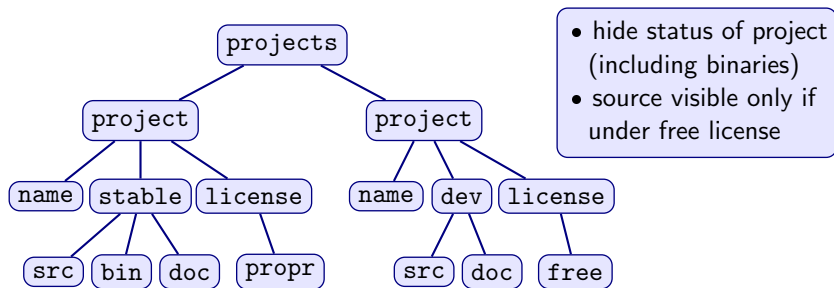
project → *name*, (*stable* | *dev*), *license*

stable → *src*, *bin*, *doc*

dev → *src*, *doc*

license → *free* | *propr*

DTDs and Annotations



DTD

$projects \rightarrow projects^*$

$project \rightarrow name, (stable \mid dev), license$

$stable \rightarrow src, bin, doc$

$dev \rightarrow src, doc$

$license \rightarrow free \mid propr$

Annotation

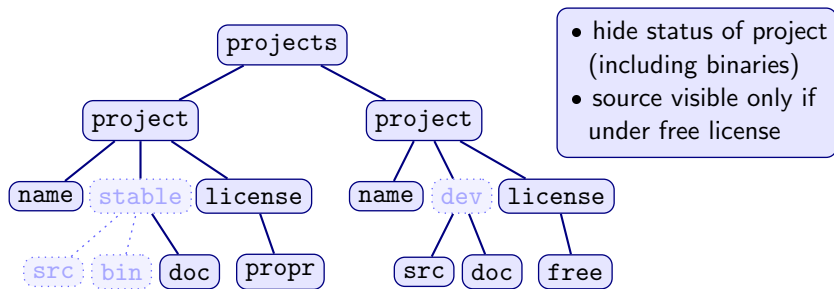
$A(stable) = false$

$A(dev) = false$

$A(doc) = true$

$A(src) = [\uparrow::*/\Rightarrow::license/\downarrow::free]$

DTDs and Annotations



Accessibility:

- root always accessible
- if A defined for the node label, then evaluate the filter
- otherwise, accessibility inherited from the parent

View: $A(t)$ = tree obtained from accessible nodes only.

Annotation

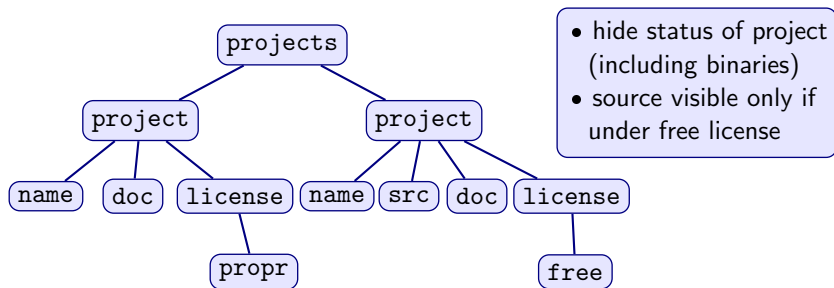
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DTDs and Annotations



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Query Rewriting

Query Rewriting

Lemma 1

For any annotation A there exists a filter expression f_{acc} such that

a node n of a tree t is accessible w.r.t. $A \iff (t, n) \models f_{\text{acc}}$

Proof

First check whether A defines a test for the current node

$$f_{\text{dom}} := \bigvee_{a \in \text{dom}(A)} \text{lab}() = a$$

if so, then evaluate it

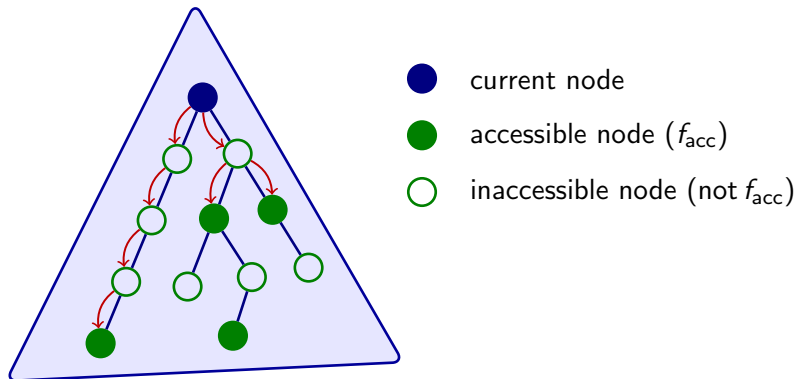
$$f_{\text{eval}} := \bigvee_{a \in \text{dom}(A)} (\text{lab}() = a \text{ and } A(a))$$

otherwise, go up until you find such a node (or you reach the root)

$$f_{\text{acc}} := ([\text{not } f_{\text{dom}}]/\uparrow)^* / [f_{\text{eval}} \text{ or not}(\uparrow)].$$



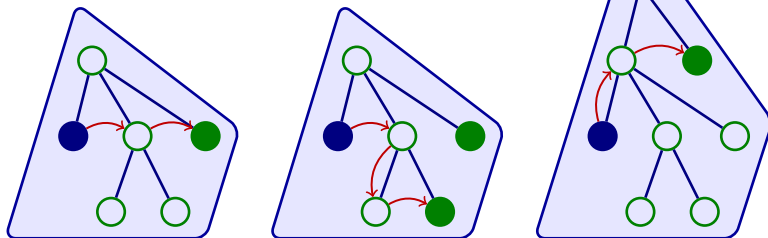
Query Rewriting: Vertical axes



$$\text{Rewrite}(\Downarrow) := [f_{acc}]/\Downarrow/([\text{not } f_{acc}]/\Downarrow)^*/[f_{acc}]$$

Query Rewriting: Horizontal axes

Rewrite($\Rightarrow$): combine 3 tricks



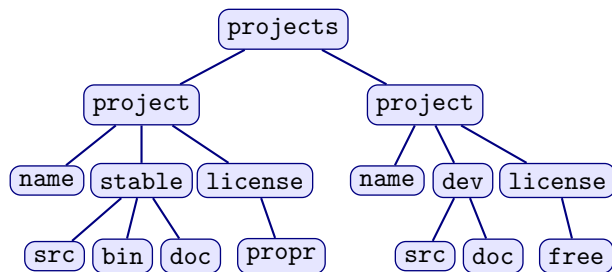
Theorem

Regular XPath is closed under rewriting over XML views.

The size of the rewritten query is $O(|A| * |Q|)$, where Q is the original query.

Constructing View Schema

Deriving view schema



Annotation

$A(stable) = \text{false}$

$A(dev) = \text{false}$

$A(doc) = \text{true}$

DTD

$projects \rightarrow projects^*$

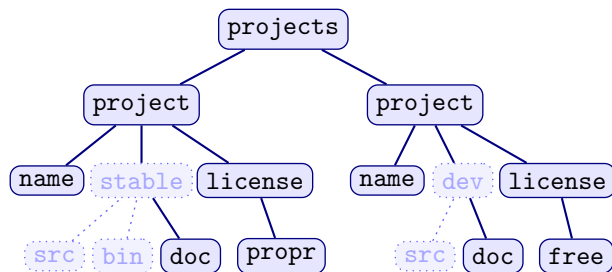
$project \rightarrow name, (stable \mid dev), license$

$stable \rightarrow src, bin, doc$

$dev \rightarrow src, doc$

$license \rightarrow free \mid propr$

Deriving view schema



Annotation

$A(stable) = \text{false}$

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DTD

$projects \rightarrow projects^*$

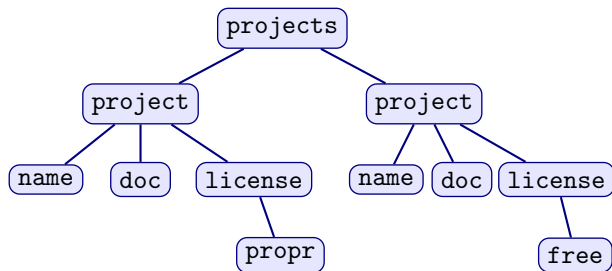
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$stable \rightarrow src, bin, doc$

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Deriving view schema



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DTD

$projects \rightarrow projects^*$

$project \rightarrow name, (stable \mid dev), license$

$stable \rightarrow src, bin, doc$

$dev \rightarrow src, doc$

$license \rightarrow free \mid propr$

View DTD

$projects \rightarrow projects^*$

$project \rightarrow name, doc, license$

$license \rightarrow free \mid propr$

One problem: Size

DTD (annotated)

$r \rightarrow a_n$

$a_n \rightarrow a_{n-1}, a_{n-1}$

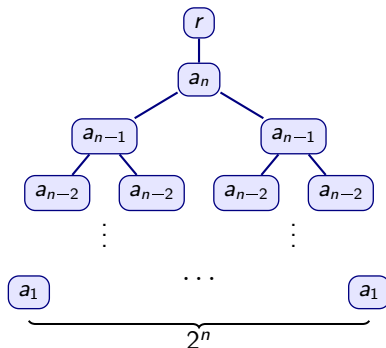
$a_{n-1} \rightarrow a_{n-2}, a_{n-2}$

...

$a_1 \rightarrow \text{empty}$

$A(a_n) = \text{false}$

$A(a_1) = \text{true}$



View DTD

$r \rightarrow \underbrace{a_1, \dots, a_1}_{2^n}$

$a_1 \rightarrow \text{empty}$

Observation

The view DTD may be of *exponential* size!

And another one: Regularity

DTD (annotated)

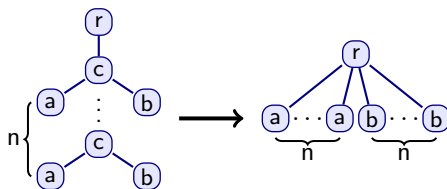
$r \rightarrow c$

$c \rightarrow (a, c?, b)$

$A(c) = \text{false}$

$A(a) = \text{true}$

$A(b) = \text{true}$



Observation

The view schema needs not be regular (in particular may not have a DTD)

Proposition

It is **undecidable** to test if the view schema can be captured with a DTD.

Approximation: Optimality criterion

Definition (Indistinguishability)

Two sets of trees L_1 and L_2 are *indistinguishable* by a class of queries $\mathcal{C}$ iff

$$\forall Q \in \mathcal{C}. [(\exists t_1 \in L_1. t_1 \models Q) \iff (\exists t_2 \in L_2. t_2 \models Q)].$$

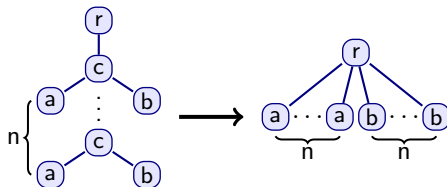
Approximation

A DTD D^* is a **good approximation** of the view schema of D and A if $L(D^*)$ and $\{A(t) \mid t \in L(D)\}$ are indistinguishable by a relatively large class of queries.

Three approximations

DTD (annotated)

$r \rightarrow c$
 $c \rightarrow (a, c?, b)$
 $A(c) = \text{false}$
 $A(a) = \text{true}$
 $A(b) = \text{true}$

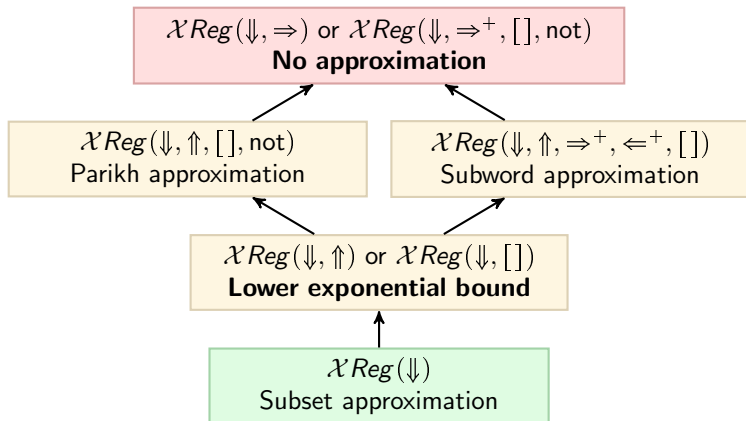


Parikh	Subword	Subset
$r \rightarrow (a, b)^*$	$r \rightarrow a^*, b^*$	$r \rightarrow (a \mid b)^*$
$\mathcal{X}Reg(\Downarrow, \Uparrow, [], \text{not})$	$\mathcal{X}Reg(\Downarrow, \Uparrow, \Rightarrow^+, \Leftarrow^+, [])$	$\mathcal{X}Reg(\Downarrow)$

Further results

exp

lin



Elements of Static Analysis

Node-based comparison

Equivalence

$$A_1 \equiv^D A_2 \iff \forall t \in L(D). \text{Nodes}(A_1(t)) = \text{Nodes}(A_2(t))$$

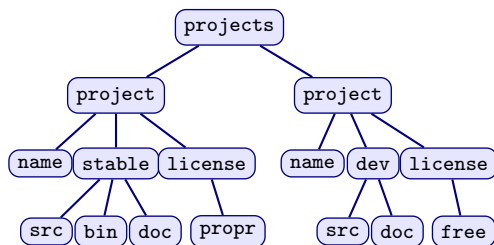
Node-based restriction

$$A_1 \leqslant_{NB}^D A_2 \iff \forall t \in L(D). \text{Nodes}(A_1(t)) \subseteq \text{Nodes}(A_2(t))$$

Theorem

Testing equivalence and node-based restriction is EXPTIME-complete.

Potential information leaks



Original annotation

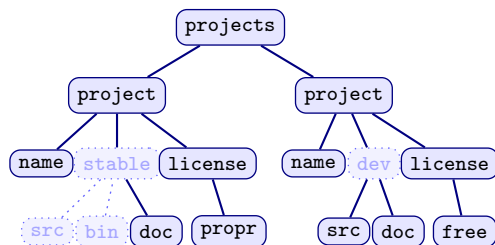
$A_1(stable) = \text{false}$

$A_1(dev) = \text{false}$

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$A_1(src) = [\uparrow::*/\Rightarrow::license/\downarrow::free]$

Potential information leaks



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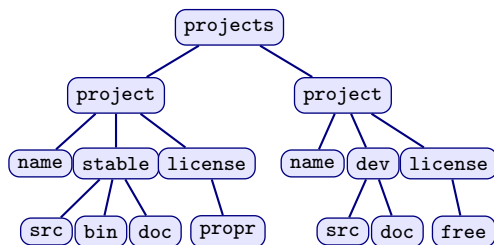
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New annotation (hide sources of projects under development)

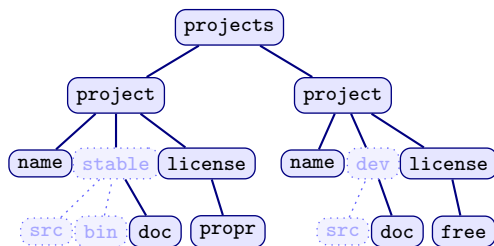
$A_2(stable) = \text{false}$

$A_2(dev) = \text{false}$

$A_2(doc) = \text{true}$

$A_2(src) = [\uparrow::stable/\Rightarrow::license/\downarrow::free]$

Potential information leaks



With the new annotation, the query

$$\Downarrow::project[\text{not}(\Downarrow::src) \text{ and } \Downarrow::license/\Downarrow::free]$$

identifies a subset of projects under development which could not be selected before!

Original annotation

$$A_1(stable) = \text{false}$$
$$A_1(dev) = \text{false}$$
$$A_1(doc) = \text{true}$$
$$A_1(src) = [\Uparrow::*/\Rightarrow::license/\Downarrow::free]$$

New annotation (hide sources of projects under development)

$$A_2(stable) = \text{false}$$
$$A_2(dev) = \text{false}$$
$$A_2(doc) = \text{true}$$
$$A(src) = [\Uparrow::stable/\Rightarrow::license/\Downarrow::free]$$

Query-based comparison

Identify accessible information

$$Public(D, A) = \{Q \mid \exists Q'. Rewrite(Q', A) \equiv^D Q\}$$

Definition (Query-based restriction)

$$A_1 \leq_{QB}^D A_2 \iff Public(D, A_1) \subseteq Public(D, A_2)$$

Negative results

Testing query-based restriction is **undecidable**.

Positive results

Testing query-based restriction for non-recursive DTDs is in EXPTIME (and is PSPACE-hard).

Future work

- Implementation (Conditional XPath, XQuery engine, ...)
- Richer schema formalisms for approximation (EDTD, XML Schema)
- Other approximation criteria
- Further study of static analysis problems (interval bounded DTDs)